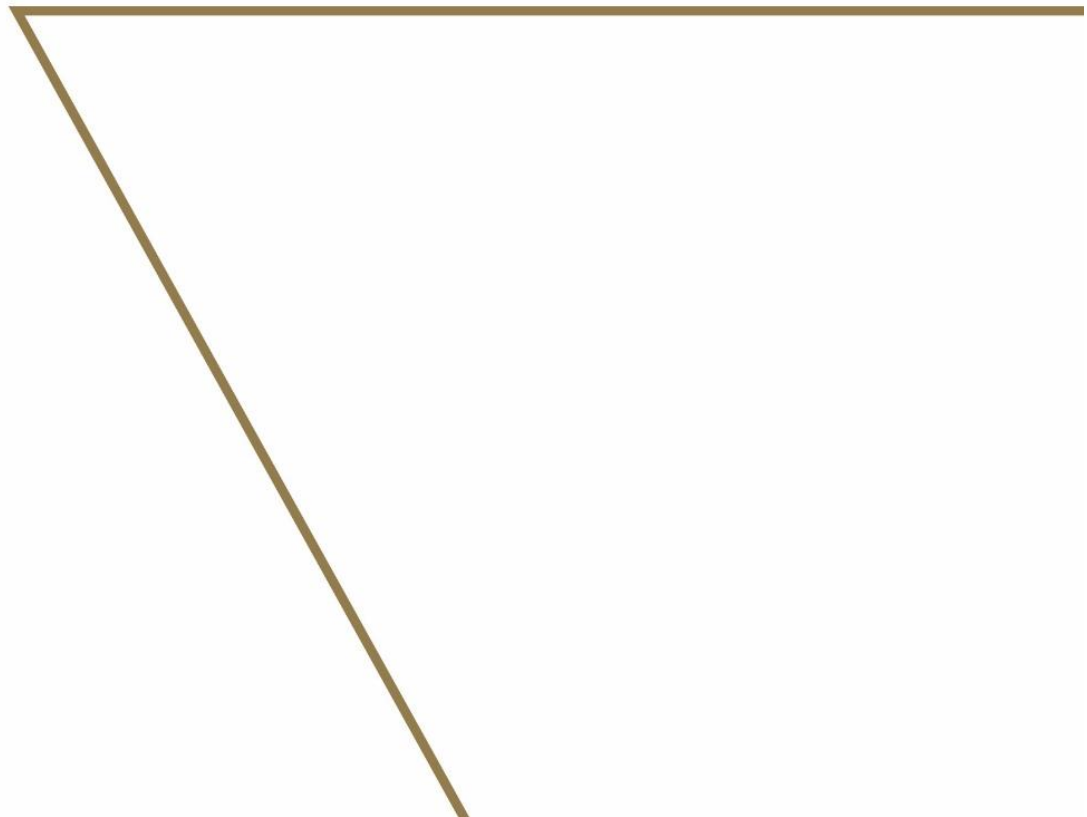


Key Annual & Event Driven Filings Overview



Key filing obligations by legal person and arrangement type (non-exhaustive)

#		Licence renewal	Data Protection	Confirmation Statement	Annual Accounts	Appoint / Cease Auditor	Appoint / Cease Director / Partner / Councillor	Appoint / Cease Secretary	Appoint / Cease Auth. Signatory	Change of Beneficial Owner
	Frequency	Annual	Annual	Annual	Annual	Event driven	Event driven	Event driven	Event driven	Event driven
ADGM legal person / arrangement type										
1	Public Company Limited by Shares	✓	✓	✓	✓	✓	✓	✓	✓	✓
2	Private Company Limited by Shares	✓	✓	✓	✓	✓ *	✓	✓	✓	✓
3	Private Company Limited by Guarantee	✓	✓	✓	✓	✓ *	✓	✓	✓	✓
4	Restricted Scope Company	✓	✓	✓	✗	✗	✓	✓	✓	✓
5	Private Company Unlimited with Shares	✓	✓	✓	✓	✓ *	✓	✓	✓	✓
6	Private Company Unlimited without Shares	✓	✓	✓	✓	✓ *	✓	✓	✓	✓
7	Branch of a Foreign Company	✓	✓	✗	✗	✗	✗	✗	✓	✗
8	Limited Liability Partnership	✓	✓	✓	✓	✓ *	✓	✓	✓	✓
9	Branch of a Foreign Limited Liability Partnership	✓	✓	✗	✗	✗	✗	✗	✓	✗
10	General Partnership	✗	✗	✗	✗	✗	✗	✗	✓	✗
11	Branch of a Foreign Partnership	✓	✓	✗	✗	✗	✗	✗	✓	✗
12	Limited Partnership	✓	✓	✗	✗	✗	✗	✗	✓	✗
13	Branch of a Foreign Limited Partnership	✓	✓	✗	✗	✗	✗	✗	✓	✗
14	Foundation	✓	✓	✓	✗	✗	✓	✓	✓	✓
15	Trust	✗	✗	✗	✗	✗	✗	✗	✗	✗
16	DLT Foundation	✓	✓	✓	✓	✓	✓	✓	✓	✓

* Eligible companies that qualify as a "small" company or LLP may be able to file unaudited balance sheet.

Annual Return filing obligations by category or activity

#	Category or activity	Filing	Contents (summary)	Frequency and deadline for submission	References
1	Designated Non-Financial Businesses or Professions (DNFBPs)	AML Annual Return	Various details on number and type of clients, etc.	Annual - The deadline to submit the return is 30 April.	Rule 4.6 of the FSRA Anti-Money Laundering and Sanctions Rules and Guidance (AML Rules).
2	Auditors	Auditor Annual Return	Various details on number and type of clients, staff, income etc.	Annual - The deadline to submit the return is 30 April.	Rule 28 of Companies Regulations (Auditors) Rules 2023.
3	Insolvency Practitioners	Annual Insolvency Practitioner Return	Various details on number and type of clients, staff, income etc.	Annual - The deadline to submit the return is 30 April.	Rule 14(2) of Insolvency Regulations (Insolvency Practitioner) Rules 2022.
4	Company Service Providers (CSPs)	Annual CSP Return	Various details on number and type of clients, employees, income etc.	Annual - The deadline to submit the return is 30 April.	Condition 9, Schedule 1 of Commercial Licensing Regulations (Conditions of Licence and Branch Registration) Rules 2023.

Disclaimer

This guidance is a non-binding indicative guidance and should be read together with the relevant legislation, in particular the ADGM's Companies Regulations 2020 and any other relevant regulations and enabling rules, which may change over time without notice. Information in this guidance is not to be deemed, considered, or relied upon as legal advice and should not be treated as a substitute for specific advice concerning any individual situation. Any action taken upon the information provided in this guidance is strictly at your own risk and the Registration Authority (RA) will not be liable for any losses and damages in connection with the use of or reliance on information provided in this guidance. The RA makes no representations as to the accuracy, completeness, correctness, or suitability of any information provided in this guidance.

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