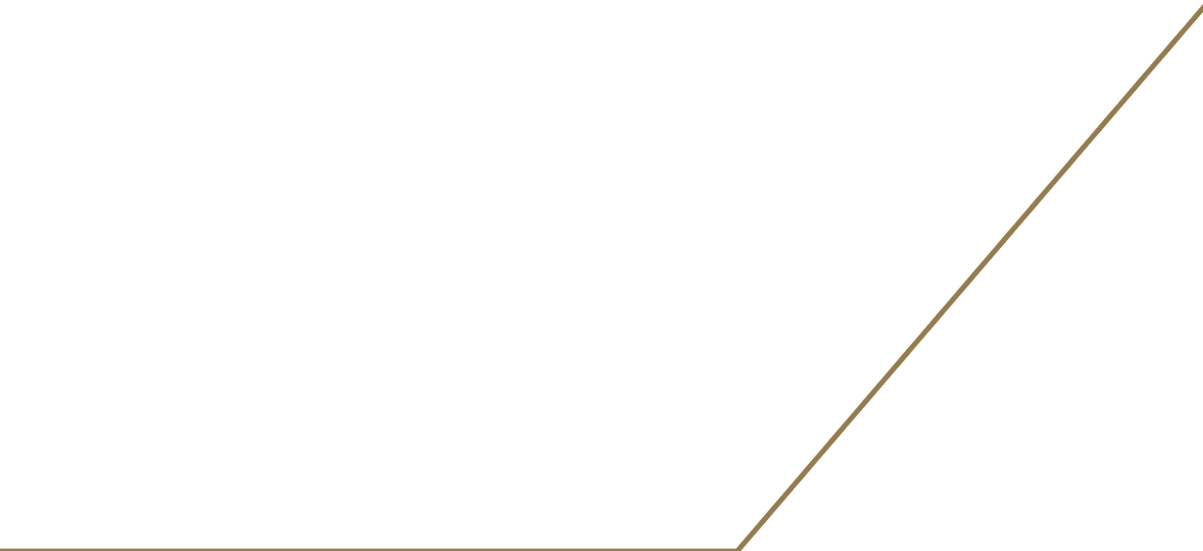




**FINANCIAL SERVICES AND MARKETS (AMENDMENT No X)  
REGULATIONS 2023**



## FINANCIAL SERVICES AND MARKETS (AMENDMENT NO X) REGULATIONS 2023

Regulations to amend the Financial Services and Markets Regulations 2015.

Date of Enactment: [•] 2023

The Board of Directors of the Abu Dhabi Global Market, in exercise of its powers under Article 6(1) of Law No. 4 of 2013 concerning the Abu Dhabi Global Market issued by His Highness the Ruler of the Emirate of Abu Dhabi, hereby enacts the following Regulations—

### 1. Amendments to the Financial Services and Markets Regulations 2015

...

#### 195. The record of Authorised Persons etc.

- (1) The Regulator must publish and maintain a record of every—
- (a) Security admitted to the Official List;
  - (b) Authorised Person;
  - (c) Public Fund;
  - (d) ADGM Green Fund;
  - (e) ADGM Climate Transition Fund;
  - (f) ADGM Green Debenture;
  - (g) ADGM Sustainability-Linked Debenture;
  - (h) ADGM Green Sukuk;
  - (i) ADGM Sustainability-Linked Sukuk;
  - (j) Approved Prospectus;
  - (k) Recognised Investment Exchange or Recognised Clearing House;
  - (l) Remote Investment Exchange or Remote Clearing House;
  - (m) individual to whom a Prohibition Order relates;
  - (n) Trade Repository;
  - (o) Remote Member;
  - (p) Approved Person;
  - (q) Passported Fund;
  - (r) Specified Information;
  - (s) Specified Benchmark;
  - (t) Key Individual; and

(u) any person falling within such other class (if any) as the Regulator may determine.

(2) The record must include such information as the Regulator considers appropriate.

(3) The Regulator shall make a reasonably current version of the records available for viewing by the public during the normal business hours of the Regulator.

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**2. Short title, extent and commencement**

(1) These Regulations may be cited as the Financial Services and Markets (Amendment No X) Regulations 2023.

(2) These Regulations shall apply in the Abu Dhabi Global Market.

(3) These Regulations come into force on the date of their publication.