

GLOSSARY (GLO)

*In this attachment underlining indicates new text and striking through indicates deleted text.

1.2 Defined Terms

1.2.1 In the Rulebooks:

- (1) a word or phrase which is defined in GLO is a defined term and has the meaning given in GLO; and
- (2) a word or phrase which relates to a defined term must be interpreted accordingly.

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Defined Terms	Definitions
<u>Regulatory Filings</u>	<p>Means the return or report to be submitted to the Regulator, in relation to:</p> <ol style="list-style-type: none"> (a) <u>AML, in accordance with Rule 4.6.1;</u> (b) <u>CIB, in accordance with Rules 2.3.5(3), (4) and (5), 2.3.7, 2.3.8, 7.2.3, 7.3.2, 7.3.3, and 7.3.5;</u> (c) <u>COBS, in accordance with Rules 14.2.3(d), 14.2.13, and 15.10;</u> (d) <u>GEN, in accordance with Rules 6.6.1, 6.6.2, and 8.8.12;</u> (e) <u>IFR, in accordance with Rule 3.6.2(b);</u> (f) <u>PIN, in accordance with Rules 6.6.1, 6.6.7, 6.7.2(1), 7.2.3 and 7.3.5;</u> (g) <u>PRU, in accordance with Rules 2.3.7, 3.2.2(c), 3.21.1, 10.3.2(3) and 10.4.2(3).</u>