Anti-Money Laundering and Sanctions Rules and Guidance (AML)

*In this attachment underlining indicates new text and striking through indicates deleted text.
## TABLE OF CONTENTS

The contents of the AML Rulebook are divided into the following Chapters and sections:

...  

### 8. CUSTOMER DUE DILIGENCE

<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>8.7</td>
<td>Failure to conduct or complete Customer Due Diligence</td>
<td>...</td>
</tr>
<tr>
<td>8.8</td>
<td>Portability of Customer Due Diligence information</td>
<td>...</td>
</tr>
</tbody>
</table>

### 8.8 Portability of Customer Due Diligence information

#### 8.8.1 (1) A Relevant Person “A” that is an Authorised Person or a Recognised Body must provide another Relevant Person, “B”, that is an Authorised Person or a Recognised Body, at the request of B, with the Customer Due Diligence information for customers that has been collected by A under Rules 8.3 and 8.4, subject to:

- **(a)** those customers being customers of both A and B at the time that the request is made;
- **(b)** B obtaining the written consent of the customers to whom the request relates and providing A with that consent for the release of such information by A;
- **(c)** the request being made solely for the purposes of conducting Customer Due Diligence on the customers to whom the request relates; and
- **(d)** in the preceding twelve months B not having requested Customer Due Diligence information from A for the same customers to whom the request relates.

#### 8.8.2 Following a request made under Rule 8.8.1, A must transfer to B without undue delay all Customer Due Diligence information in its possession for those customers.

#### 8.8.3 A must not charge B a fee for the provision of Customer Due Diligence information provided under Rule 8.8.1.