

Fee Schedule for Authorised Persons and Recognised Bodies

	Deposit taking Institutions - Accepting deposits; or - Managing a profit sharing investment account	Insurers - Effecting contracts of insurance; - Carrying out contracts of insurance; or - Both	Credit Providers	Principal or Agency Dealers	Other non-Deposit taking Institutions	Representative office	Recognised Bodies - Recognised Investment Exchange; or - Recognised Clearing House
	US\$	US\$	US\$	US\$	US\$	US\$	US\$
Authorisation Fees							
- Initial fees	30,000	30,000	15,000	15,000	5,000	3,000	125,000
- Fees for each additional Regulated Activity	5,000 ¹	5,000 ²	5,000 ³	5,000 ⁴	5,000 ⁵	N.A.	125,000 ⁶
Supervision Fees							
- Annual fees	30,000	30,000	15,000	15,000	5,000	3,000	60,000
- Fees for each additional Regulated Activity	5,000	5,000	5,000	5,000	5,000	N.A.	60,000
Additional fees							
- Fees for each appointment of Approved Person	500	500	500	500	500	500	N.A.

Notes:

- E.g. A firm conducting the Regulated Activities of Accepting Deposits, Managing a Profit Sharing Investment Account and Providing Credit will have to pay an authorisation fee of \$40,000 (\$30,000 for Accepting Deposits + \$5,000 for Managing A Profit Sharing Investment Account + \$5,000 for Providing Credit).
- E.g. A firm conducting the Regulated Activities of Effecting Contracts of Insurance, Carrying out Contracts of Insurance and Managing Assets will have to pay an authorisation fee of \$35,000 (\$30,000 for both Effecting and Carrying Out Contracts of Insurance + \$5,000 for Managing Assets).
- E.g. A firm conducting the Regulated Activities of Providing Credit, Arranging Credit and Advising on Credit will have to pay an authorisation fee of \$25,000 (\$15,000 for Providing Credit + \$5,000 for Arranging Credit + \$5,000 for Advising on Credit).
- E.g. A firm conducting the Regulated Activities of Dealing in Investments as Principal, Dealing in Investments as Agent and Advising on Investments will have to pay an authorisation fee of \$25,000 (\$15,000 for Dealing as Principal + \$5,000 for Dealing as Agent + \$5,000 for Advising on Investments).
- E.g. A firm conducting the Regulated Activities of Managing Collective Investment Funds, Managing Assets, Arranging Custody and Advising on Investments will have to pay an authorisation fee of \$20,000 (\$5,000 per Regulated Activity).
- A firm applying for recognition as a Recognised Investment Exchange and Recognised Clearing House will have to pay an application fee of \$250,000 (\$125,000 for Recognised Investment Exchange + \$125,000 for Recognised Clearing House)

Fee Schedule for Listings and Funds Registration

	Listing	Fund Registration (single, Domestic & Public Fund)	Fund Registration (Umbrella, Domestic & Public Fund)	Fund Notification (Foreign Fund)
Authorisation Fees	US\$	US\$	US\$	US\$
- Initial fees	3000 ¹	3000 ³	3000 ³ + 1,000 (for each sub-fund)	1000 ⁶
Prospectus fees				
- Shares	20000 ²			
- Debentures	10000 ²			
- Public Funds		3000 ⁴	3000 ⁴	
- Public Funds (supplementary prospectus)		1000 ⁵	1000 ⁵	

Notes:

- 1 Application pursuant to MKT Rule 2.1 and FEES Rule 3.9.
- 2 Application pursuant to MKT Rule 4.6.1(1)(b) and FEES Rule 4.1.1.
- 3 Application pursuant to FUNDS Rule 6.1 and FEES Rule 3.10.
- 4 Filing pursuant to FUNDS Rule 9.3.2(1)(b) and FEES Rule 4.2.1.
- 5 Filing pursuant to FUNDS Rule 9.4.2(1)(b) and FEES Rule 4.2.2.
- 6 Notification pursuant to FUNDS Rule 7.2.1 and FEES Rule 3.11 to manage a Foreign Fund.