

Date: 16 September 2020

Notice No.: FSRA/FCPU/09/2020

To: Senior Executive Officers (SEO), Money Laundering Reporting Officers (MLRO) and Principal Representatives (PR) of Relevant Persons

Dear **SEO/MLRO/RP**

RE: REPORTING SUSPICIOUS ACTIVITIES TO THE FINANCIAL INTELLIGENCE UNIT THROUGH “goAML” SYSTEM

The purpose of this circular is to inform and update all Relevant Persons that the goAML registration and user guides has been updated.

What is required from Relevant Persons?

All Relevant Persons are required to connect to the goAML online system upon receipt of their Financial Service Permission (FSP) or Registration License, (see **Annexure 1**).

In order to gain access to the new goAML system, Relevant Persons are first required to pre-register on the Central Bank of UAE Service Access Control Manager (CBUAESACM) portal. This is to secure the access to the goAML launch portal to enable access to the goAML application to submit STRs and SARs.

Note, that upon receipt of your application, the Financial Services Regulatory Authority (FSRA) will verify and approve both the pre-registration and goAML registration submissions. The registered system user details should include either the designated Money Laundering Reporting Officer (**MLRO**) or the deputy MLRO - should the MLRO be unavailable - who will be responsible to file all STRs and SARs.

Relevant Persons are required to attach **the following mandatory documentary evidence** in PDF format, namely: a valid FSP or ADGM Registration License, the ADGM Recognized Person Status Form (applicable to Relevant Persons with a FSP) and supporting evidence of the current MLRO and/or deputy MLRO (i.e. copies of passport, UAE Resident Visa and UAE ID).

For those Relevant Persons operating in more than one jurisdiction within the UAE, you are required to complete a separate registration for each of those jurisdictions.

Sincerely,

FINANCIAL CRIME PREVENTION UNIT

Annexure 1 – goAML Guidance pack comprising:

1. Supervisory Bodies Pre-registration Guide
2. Reporting Entities Pre-registration Guide
3. Registration Guide
4. goAML FAQ
5. goAML Web submission guide