



ABU DHABI GLOBAL MARKET
سوق أبوظبي العالمي

Date: 13 June 2017

Ref. No. FSRA/AML/170001

To: Senior Executive Officers (SEO), Money Laundering Reporting Officers (MLRO) and Principal Representatives (PR) of Relevant Persons

Dear SEO/MLRO/PR,

On 8 June 2017 the UAE Government updated their list of designated terrorist organisations and individuals in accordance with Federal Law No. 7 of 2014 on Combating Terrorism Crimes. The updated list was issued pursuant to UAE Cabinet of Ministers' Resolution No. 18 of 2017. The Government announcement was published on WAM at: <http://wam.ae/en/details/1395302618259>

On 9 June 2017 the Central Bank of the UAE issued notice 156/2017 requesting banks and other financial institutions, money changers, finance and investment companies operating in the UAE to take the following action:

- 1- To search and freeze any account/accounts or deposits or investments or any other financial instruments.
- 2- Identify any credit facilities or safe deposit boxes, or any financial transfers executed from inception of opening account/accounts till date.
- 3- Deny access to the safe deposit boxes and stop any financial transfers in the names mentioned in the attached list.
- 4- Confirmation on the implementation of the above mentioned instructions, and provide the following:

Detailed reports of amounts deposited or transferred to the account/accounts (in operation at present and the closed) and amounts withdrawn or transferred from/to the account/accounts, including name of depositors/transferrers, beneficiaries and their sources, from inception to the current date, supported by information and the following documents:

- Account / Accounts Opening Forms and related documents
- Authorized person / persons to operate the Account / Accounts
- Account / Accounts statements from their inception till date
- Deposit / withdrawal / transfer slips
- Swift copy & other financial transfer's receipts
- Documents relating to verification of source of funds credited to the Account / Accounts
- Application Forms for Loans & other Facilities and related documents
- Cheques
- Any other documents relevant to the above matters

FINANCIAL SERVICES REGULATORY AUTHORITY
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Further the Central Bank of the UAE issued notice 157/2017 which required the immediate implementation of enhanced customer due - diligence regarding any activities between the following banks and institutions, as well as other parties:

- Qatar Islamic Bank
- Qatar International Islamic Bank
- Barwa Bank
- Masraf Al Rayan
- Qatar National Bank
- Doha Bank

The Government announcement regarding the notices were published on WAM at:

[http:// wam.ae/en/details/1395302618302](http://wam.ae/en/details/1395302618302)

Rules 1.1 and 14 of the FSRA's Anti-Money Laundering and Sanctions Rules and Guidance (AML) are applicable to all recipients of this notification and failure to comply with these instructions will expose you to legal accountability under the laws of the United Arab Emirates.

Therefore, you are required to immediately comply with Actions 1, 2, 3 and 4 above and provide your confirmation on the implementation of these instructions, including any NIL findings. In addition, you are also required to provide your confirmation on the implementation of enhanced customer due-diligence on the six Qatari banks, including any NIL findings.

Your confirmations, reports and any NIL findings must be sent to the following email: Michael.larter@adgm.com no later than close of business 15 June 2017.

Yours faithfully,

**Thomas Hirschi – Executive Director
Banking & Insurance**