

Financial Crime Prevention
Financial Services Regulatory Authority
ADGM Authorities Building,
ADGM Square,
Al Maryah Island,
Abu Dhabi

23 February 2020

Notice No.: FSRA/FCPU/02/2020

To: Senior Executive Officers (SEO), Money Laundering Reporting Officers (MLRO) and Principal Representatives (PR) of Relevant Persons

Dear SEO/MLRO/RP

RE: Launch of new format for AML Annual Return

The purpose of this letter is to inform you that the AML Annual Return form has been revised and published on the FCPU webpage. The new version can be accessed via the following link:

<https://www.adgm.com/operating-in-adgm/financial-crime-prevention/forms>

Significant changes were made to the previous AML Return which comprises a much broader scope, requires more client and statistical data and incorporates the revised changes of our AML Rulebook.

Relevant Persons are required to complete and submit the AML Return by the end of April each year in accordance with AML 4.6.1. The AML Return must cover the period from 1 January to 31 December of the preceding year.

All AML Returns should be submitted to the nominated Supervisors within the Financial Services Regulatory Authority or the Registration Authority's Monitoring and Enforcement division. A copy of the AML Return must be sent to the Financial Crime Prevention Unit as well.

The FSRA/RA intends on holding an outreach session on what the FSRA and RA expects in completing the AML Annual Return. That outreach will take place in late March and you will receive a separate notification.

Please note that these changes are effective immediately.

Sincerely,

Financial Crime Prevention Unit

FINANCIAL SERVICES REGULATORY AUTHORITY
سلطة تنظيم الخدمات المالية