



**ABU DHABI GLOBAL MARKET**  
**سوق أبوظبي العالمي**

Date: 1 May 2019

Notice No.: FSRA/FCPU/13/2019

To: Senior Executive Officers (SEO), Money Laundering Reporting Officers (MLRO) and Principal Representatives (PR) of Relevant Persons

Dear SEO/MLRO/RP,

**RE: Cabinet Resolution No. 20 of 2019**

The UAE Cabinet have passed Resolution No. 20 of 2019 Concerning the Regulation of Terrorism lists and the application of the Security Council resolutions and the relevant resolutions on the prevention, suppression of terrorism and its financing and the cessation of weapon proliferation and its financing (hereon referred to as 'the Resolution').

On 15 April 2019, the Financial Crime Prevention Unit (FCPU) held discussions with the relevant federal authorities concerning the Resolution and implementation steps. During the discussions, the importance of the implementation of the Resolution was emphasized as it is part of the preparations for the upcoming FATF Assessment to enhance our regulatory framework, especially in relation to international cooperation.

**What is required from Relevant Persons?**

All relevant authorities have been instructed to cross-check their clients databases, and require their regulated entities to do so, against the sanctions list available at the following link: <https://www.un.org/securitycouncil/content/un-sc-consolidated-list>

Where a positive match is identified, then a written response must be sent to the FCPU no later than 13 May 2019. No response is required if your search results in negative matches, (i.e. Nil).

All Relevant Persons are reminded to verify and cross-check their client database and any information received concerning potential or current clients to the Sanctions List on an ongoing basis.

**FINANCIAL SERVICES REGULATORY AUTHORITY**  
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### **Administrative and Penal Measures**

Relevant Persons are reminded to ensure that they meet the required obligation as failure to do so may result in the FSRA imposing penalties. These obligations are explained most notably in the AML Rulebook under Sections 11 (“SANCTIONS AND OTHER INTERNATIONAL OBLIGATIONS”). Furthermore, disregarding the required obligations may result in criminal penalties provided for in the Federal Decree by Law No. 20 of 2018.

Sincerely

FINANCIAL CRIME PREVENTION UNIT

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