

Date: 22 November 2022
Notice No.: FSRA/FCCP/50/2022

To: Senior Executive Officers (SEO), Money Laundering Reporting Officers (MLRO) and Principal Representatives (PR) of Approved Persons

Dear SEO/MLRO/PR,

Subject: Invitation to DOLFIN E-learning Platform

Greetings,

The Financial Services Regulatory Authority (FSRA), in coordination with the Executive Office for Control & Non-Proliferation (EOCN) is pleased to announce the roll-out of the DOLFIN e-learning platform. The platform aims to increase the private sector's awareness and understanding regarding implementation of targeted financial sanctions (TFS) in compliance with UAE regulations.

The FSRA and the EOCN strongly encourage all MLRO's to register in DOLFIN, complete the assigned training modules, and acquire the certification. Compliance and other relevant staff are also encouraged to register.

DOLFIN Modules and Features

DOLFIN features three customized training modules covering the following topics:

- Module 1: United Nations Sanctions Obligations & FATF Recommendations (*Available*)
- Module 2: TFS Guidelines for FIs, DNFBPs, and VASPs (*Available*)
- Module 3: Guidance on Counter Proliferation Financing (*To be launched*)

An Exam will follow each module. Users will earn completion certificates after passing of each Exam and ultimately earn a "Certified Risk Management Specialist – Targeted Financial Sanctions" certification upon successful completion of all three exams.

Register your Interest

If you have already received a registration email from DOLFIN, you may access the training modules and begin your training immediately.

If you have not received an invite, kindly register your interest to join by filling out the following form: <https://forms.gle/drtPmvd3M57oiKjX8>

Note: There is no registration limit; you may circulate internally to relevant staff within your firm to register their interest.

Should you require any clarity on any further aspects concerning the DOLFIN e-learning platform or face technical issues during registering, please direct them to: dolphinsupport@k2integrity.com. In case you have enquiries that are targeted to the regulator rather than the DOLFIN e-learning, please contact: FCCP@adgm.com

Sincerely,

Financial & Cyber Crime Prevention