



ABU DHABI GLOBAL MARKET
سوق أبوظبي العالمي

Date: 12 June 2019

Notice No.: FSRA/FCPU/16/2019

To: All Authorized Persons

RE: THE ANTI-MONEY LAUNDERING (AML) AND COMBATING THE FINANCING OF TERRORISM (CFT) AND ILLEGAL ORGANISATIONS GUIDELINES FOR FINANCIAL INSTITUTIONS (FIs)

Please be advised that the United Arab Emirates (UAE) Mutual Evaluation Project Management Office (PMO) have prepared and finalized a guidance paper on the abovementioned subject for your kind consideration. The purpose of these "Guidelines" is to provide guidance to FIs in order to enhance their understanding of their statutory obligations under the AML legal and regulatory framework in the UAE.

The Guidelines sets out the minimum standards on what should be taken into consideration by FIs which fall under their respective jurisdictions, when identifying, assessing and mitigating the risks of money-laundering, the financing of terrorism, and the financing of illegal organisations.

Please note that these Guidelines do not intend to replace, limit or otherwise circumscribe additional or supplementary guidance which may be published on occasion by any of the Supervisory Authorities (which includes the FSRA at the ADGM) in respect of their supervised institutions which fall under their respective jurisdictions.

Sincerely

FINANCIAL CRIME PREVENTION UNIT

FINANCIAL SERVICES REGULATORY AUTHORITY
سلطة تنظيم الخدمات المالية

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