

# ROKOS CAPITAL MANAGEMENT (MIDDLE EAST) LIMITED

## Firm Details

<b>Firm Name</b>	ROKOS CAPITAL MANAGEMENT (MIDDLE EAST) LIMITED
<b>FSP Number</b>	250118
<b>FSP Date</b>	09 March 2026
<b>Firm Type</b>	Financial Firms
<b>Address</b>	United Kingdom, Abu Dhabi, Others, Abu Dhabi
<b>Email</b>	<a href="mailto:compliance@rokoscapital.com">compliance@rokoscapital.com</a>
<b>Phone</b>	+44 2037640617
<b>Legal Status</b>	ADGM Company (a private company limited by shares)
<b>Status</b>	Active

## Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Deals in Investments	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for	09 Mar 2026	

	differences etc., Rights to or interests in Investments, Environmental Instruments		
Managing Assets	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Rights to or interests in Investments, Environmental Instruments	09 Mar 2026	
Advising on Investments or Credit	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Rights to or interests in Investments, Environmental Instruments	09 Mar 2026	
Dealing in Investments as Agent	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Rights to or interests	09 Mar 2026	

	in Investments, Environmental Instruments		
--	---	--	--

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- ROKOS CAPITAL MANAGEMENT (MIDDLE EAST) LIMITED is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- ROKOS CAPITAL MANAGEMENT (MIDDLE EAST) LIMITED is not permitted to hold Client Assets

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Richard Nikolas Holttum	03581	Senior Manager	Active	09 Mar 2026	
Christos Nifadopoulos	03580	Licensed Director	Active	09 Mar 2026	
Quita Ramirez	03579	Licensed Director	Active	09 Mar 2026	
Christopher Irish	03577	Senior Executive Officer(SEO)	Active	09 Mar 2026	
Thanima Vinayan	03576	Finance Officer	Active	09 Mar 2026	
Jason Domimic Haines	03575	Compliance Officer and MLRO	Withdrawn	09 Mar 2026	08 Apr 2026

Jason Domimic Haines	03575	Compliance Officer and MLRO	Withdrawn	09 Mar 2026	08 Apr 2026
Praveer Pinto	00391	Compliance Officer	Active	20 Apr 2026	
Praveer Pinto	00391	MLRO	Active	20 Apr 2026	