

SONA ASSET MANAGEMENT (MENA) LIMITED

Firm Details

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| Firm Name | SONA ASSET MANAGEMENT (MENA) LIMITED |
| FSP Number | 250125 |
| FSP Date | 29 January 2026 |
| Firm Type | Financial Firms |
| Address | United Arab Emirates, Abu Dhabi, Maryah Island, 14-115, 14, Al Khatem Tower, WeWork Hub71, Abu Dhabi Global Market Square |
| Email | nvali@sona-am.com |
| Phone | +971 0000000 |
| Legal Status | ADGM Company (a private company limited by shares) |
| Status | Active |

Regulated Activities

| Type | Asset Type | Effective Date | Withdrawn Date |
|-----------------------------------|--|----------------|----------------|
| Advising on Investments or Credit | Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, | 29 Jan 2026 | |

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|--------------------------------|--|-------------|--|
| | Options, Futures, Contracts for differences etc., Credit Agreement | | |
| Arranging Deals in Investments | Units in a Collective Investment Fund | 29 Jan 2026 | |

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Sona Asset Management (MENA) Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Sona Asset Management (MENA) Limited is not permitted to hold or control Client Assets

Approved Persons

| Name | Person Reference Number | Role | Status | Effective Start Date | Withdrawn date |
|--------------------|-------------------------|-----------------------------|--------|----------------------|----------------|
| Justin Shih | 03492 | Licensed Director | Active | 29 Jan 2026 | |
| Narina Valimahomed | 03491 | Licensed Director and SEO | Active | 29 Jan 2026 | |
| Constantine Knox | 03489 | Licensed Director | Active | 29 Jan 2026 | |
| Joseph Carabine | 03488 | Finance Officer | Active | 29 Jan 2026 | |
| Tony Day | 03043 | Compliance Officer and MLRO | Active | 29 Jan 2026 | |