

SONA ASSET MANAGEMENT (MENA) LIMITED

Firm Details

Firm Name	SONA ASSET MANAGEMENT (MENA) LIMITED
FSP Number	250125
FSP Date	29 January 2026
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 14-115, 14, Al Khatem Tower, WeWork Hub71, Abu Dhabi Global Market Square
Email	nvali@sona-am.com
Phone	+971 0000000
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund,	29 Jan 2026	

	Options, Futures, Contracts for differences etc., Credit Agreement		
Arranging Deals in Investments	Units in a Collective Investment Fund	29 Jan 2026	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Sona Asset Management (MENA) Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Sona Asset Management (MENA) Limited is not permitted to hold or control Client Assets

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Justin Shih	03492	Licensed Director	Active	29 Jan 2026	
Narina Valimahomed	03491	Licensed Director and SEO	Active	29 Jan 2026	
Constantine Knox	03489	Licensed Director	Active	29 Jan 2026	
Joseph Carabine	03488	Finance Officer	Active	29 Jan 2026	
Tony Day	03043	Compliance Officer and MLRO	Active	29 Jan 2026	