

KKR (AD) LIMITED

Firm Details

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|---------------------|--|
| Firm Name | KKR (AD) LIMITED |
| FSP Number | 250099 |
| FSP Date | 14 January 2026 |
| Firm Type | Financial Firms |
| Address | United Arab Emirates, Abu Dhabi, Maryah Island, Office Units 5 & 6, 5, Al Khatem Tower, Abu Dhabi Global Market Square |
| Email | mark.howard@kkf.com |
| Phone | +44 2071042506 |
| Legal Status | ADGM Company (a private company limited by shares) |
| Status | Active |

Regulated Activities

| Type | Asset Type | Effective Date | Withdrawn Date |
|-----------------|---|----------------|----------------|
| Managing Assets | Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Rights to or interests | 14 Jan 2026 | |

| | | | |
|-----------------------------------|--|-------------|--|
| | in Investments | | |
| Advising on Investments or Credit | Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Rights to or interests in Investments | 14 Jan 2026 | |
| Arranging Deals in Investments | Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Rights to or interests in Investments | 14 Jan 2026 | |

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- KKR (AD) LIMITED is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- KKR (AD) LIMITED is not permitted to hold Client Assets

Approved Persons

| Name | Person Reference | Role | Status | Effective Start Date | Withdrawn date |
|------|------------------|------|--------|----------------------|----------------|
|------|------------------|------|--------|----------------------|----------------|

| | Number | | | | |
|---------------------------------------|--------|---------------------------------|--------|-------------|--|
| Julian Barratt Due | 03438 | Licensed Director and SEO | Active | 14 Jan 2026 | |
| Aled Morris John | 03441 | Senior Manager | Active | 14 Jan 2026 | |
| Paul Michael Horwood | 03439 | Licensed Director | Active | 14 Jan 2026 | |
| Paul Michael Horwood | 03439 | Finance Officer | Active | 14 Jan 2026 | |
| Atinuke Ololade Dasaolu | 03248 | Compliance Officer | Active | 14 Jan 2026 | |
| Atinuke Ololade Dasaolu | 03248 | MLRO | Active | 14 Jan 2026 | |
| De Freitas Michael Rui Ferreira | 03440 | Licensed Director | Active | 14 Jan 2026 | |