

# Vesper Wealth Advisors Ltd

## Firm Details

<b>Firm Name</b>	Vesper Wealth Advisors Ltd
<b>FSP Number</b>	240046
<b>FSP Date</b>	23 September 2024
<b>Firm Type</b>	Financial Firms
<b>Address</b>	United Arab Emirates, Abu Dhabi, Reem Island, Office 2201, 22nd, Sky Tower, Shams Abu Dhabi
<b>Legal Status</b>	ADGM Company (a private company limited by shares)
<b>Status</b>	Active

## Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Credit Agreement, Rights to or interests in Investments, Sukuk, Environmental Instruments	23 Sep 2024	
Arranging Deals in	Shares, Instruments creating or	23 Sep 2024	

Investments	acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Credit Agreement, Rights to or interests in Investments, Sukuk, Environmental Instruments		
-------------	--	--	--

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Vesper Wealth Advisors Ltd is not permitted to hold or control Client Assets
- Vesper Wealth Advisors Ltd is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Robert Butler	02042	Licensed Director	Active	23 Sep 2024	
James David Haller	02041	Licensed Director	Active	23 Sep 2024	
James David Haller	02041	Senior Executive Officer(SEO)	Active	23 Sep 2024	
James Howard Haller	02043	Licensed Director	Active	23 Sep 2024	

James Howard Haller	02043	Finance Officer	Active	23 Sep 2024	
John Joseph Kartonchik	01042	Compliance Officer and MLRO	Withdrawn	23 Sep 2024	01 Aug 2025
Gregory John Hutton	03072	Licensed Director	Active	15 Aug 2025	
Parastu Aghai	03124	Compliance Officer	Active	20 Nov 2025	
Parastu Aghai	03124	MLRO	Active	20 Nov 2025	