

Vantage Capital Limited

Firm Details

Firm Name	Vantage Capital Limited
FSP Number	240031
FSP Date	22 August 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Reem Island, 2402H., 24th Floor,, Tamouh Tower, Tamouh
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Credit	Credit Agreement, Sukuk, Environmental Instruments	22 Aug 2024	
Managing Assets	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement, Rights to or interests in Investments, Sukuk, Environmental Instruments	22 Aug 2024	

Arranging Deals in Investments	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement, Rights to or interests in Investments, Sukuk, Environmental Instruments	22 Aug 2024	
Arranging Custody	Shares, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement, Rights to or interests in Investments, Sukuk, Environmental Instruments	22 Aug 2024	
Advising on Investments or Credit	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement, Rights to or interests in Investments, Sukuk, Environmental Instruments	22 Aug 2024	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Vantage Capital Limited is not permitted to hold Client Assets

- Vantage Capital Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Vivek Gehani	01656	Senior Executive Officer(SEO)	Active	12 Jan 2024	
Pawan Kumar Randev	02342	Senior Manager	Active	22 Aug 2024	
Annapoorani Viswanathan	02341	Finance Officer	Active	22 Aug 2024	
Annapoorani Viswanathan	02341	Senior Manager	Active	22 Aug 2024	
Rohini Gehani	02340	Licensed Director	Active	22 Aug 2024	
Bhavin Shah	01784	Licensed Director	Active	22 Aug 2024	
Neha Bhandari	01450	Senior Manager	Active	22 Aug 2024	
Neha Bhandari	01450	Compliance Officer and MLRO	Active	22 Aug 2024	
Bryan Dale Stirewalt	02759	Licensed Director	Active	13 Mar 2025	
Aditya Shroff	02778	Licensed Director	Active	21 Mar 2025	