

Firm Details

Firm Name	UBS AG
FSP Number	250076
FSP Date	30 September 2025
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 11, Level 12, Al Sila Tower, Abu Dhabi Global Market Square
Email	katrin.juerissen@ubs.com
Phone	+971 043657127
Legal Status	Branch of a Foreign Company
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Credit	Credit Agreement	30 Sep 2025	
Arranging Custody	Shares, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Credit Agreement,	30 Sep 2025	

	Sukuk, Environmental Instruments		
Arranging Deals in Investments	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Credit Agreement, Sukuk, Environmental Instruments	30 Sep 2025	
Advising on Investments or Credit	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Credit Agreement, Sukuk, Environmental Instruments	30 Sep 2025	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- UBS AG is not permitted to hold or control Client Assets
- UBS AG is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference	Role	Status	Effective Start Date	Withdrawn date
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	Number				
Katrin Jurissen	03197	Senior Executive Officer(SEO)	Withdrawn	30 Sep 2025	10 Feb 2026
Rizwan Bora	03196	Finance Officer	Active	30 Sep 2025	
Majed Dalloul	03195	Compliance Officer and MLRO	Active	30 Sep 2025	
Francisco Borja Martinez Laredo Gonzalez	03555	Senior Executive Officer(SEO)	Active	25 Feb 2026	