

Tradition (Dubai) Limited

Firm Details

Firm Name	Tradition (Dubai) Limited
FSP Number	240027
FSP Date	21 January 2025
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 9, Floor 7, Al Sila Tower, Abu Dhabi Global Market Square
Legal Status	Branch of a Foreign Company
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Deals in Investments	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Certificates representing certain Financial Instruments, Options, Futures, Rights to or interests in Investments, Sukuk	21 Jan 2025	
Dealing in Investments as Agent	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Options, Futures, Sukuk	21 Jan 2025	

Dealing in Investments as Principal (Matched)	NA	21 Jan 2025	
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Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Tradition (Dubai) Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Tradition (Dubai) Limited is not permitted to hold or control Client Assets

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Mohammed Ekram Hussain	02558	Compliance Officer	Active	21 Jan 2025	
Mohammed Ekram Hussain	02558	Senior Manager	Active	21 Jan 2025	
Mohammed Ekram Hussain	02558	MLRO	Active	21 Jan 2025	
Alastair Moffatt	01783	Senior Manager	Withdrawn	21 Jan 2025	23 Jul 2025
Filiberto D Aniello	01687	Senior Executive Officer(SEO)	Active	21 Jan 2025	

Filiberto D Aniello	01687	Licensed Director	Active	21 Jan 2025	
Simon Edward Musker	01686	Senior Manager	Active	21 Jan 2025	
Simon Edward Musker	01686	Finance Officer	Active	21 Jan 2025	