

TPL Investment Management Ltd

Firm Details

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| Firm Name | TPL Investment Management Ltd |
| FSP Number | 210062 |
| FSP Date | 26 May 2022 |
| Firm Type | Financial Firms |
| Address | United Arab Emirates, Abu Dhabi, Maryah Island, 4, 6, Al Sarab Tower, Abu Dhabi Global Market Square |
| Website | www.tplfunds.com |
| Legal Status | Private Company Limited By Shares |
| Status | Active |

Regulated Activities

| Type | Asset Type | Effective Date | Withdrawn Date |
|---------------------------------------|---------------------------------------|----------------|----------------|
| Advising on Investments or Credit | | 26 May 2022 | |
| Arranging Deals in Investments | | 26 May 2022 | |
| Managing a Collective Investment Fund | Units in a Collective Investment Fund | 26 May 2022 | |

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- TPL Investment Management Ltd is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- TPL Investment Management Ltd is not permitted to hold or control Client Assets

Approved Persons

| Name | Person Reference Number | Role | Status | Effective Start Date | Withdrawn date |
|--------------------------|-------------------------|-------------------------------|-----------|----------------------|----------------|
| Muhammed Aliuddin Ansari | 01111 | Licensed Director | Withdrawn | 26 May 2022 | 05 Jan 2024 |
| Ali Asgher | 01062 | Licensed Director | Active | 26 May 2022 | |
| Ali Asgher | 01062 | Senior Executive Officer(SEO) | Withdrawn | 26 May 2022 | 15 Aug 2024 |
| Muhammad Ali Jameel | 01060 | Licensed Director | Active | 26 May 2022 | |
| Racha Al Khawaja | 01058 | Licensed Director and SEO | Withdrawn | 26 May 2022 | 21 Aug 2023 |
| Biagio Perrone Filardi | 00897 | Compliance Officer and MLRO | Withdrawn | 20 Mar 2023 | 26 Sep 2025 |
| Imad Zahid Nagi | 01337 | Finance Officer | Withdrawn | 04 Apr 2023 | 20 Oct 2025 |
| Abdul Wahab | 01436 | Licensed | Withdrawn | 01 Sep 2023 | 06 Aug 2025 |

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|-----------------------------|-------|-------------------------------|--------|-------------|--|
| Al Halabi | | Director | | | |
| Syed Asad Sarwar Naqvi | 01752 | Licensed Director | Active | 21 Feb 2024 | |
| Jamil Akbar | 02290 | Senior Executive Officer(SEO) | Active | 15 Aug 2024 | |
| Adnan Quaid Johor Khandwala | 03346 | Finance Officer | Active | 27 Nov 2025 | |