

The Bank of New York Mellon

Firm Details

Firm Name	The Bank of New York Mellon
FSP Number	190021
FSP Date	03 November 2019
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Office 004, Level L4, Al Maryah Tower, Abu Dhabi Global Market Square
Email	ameer.toma@bny.com
Phone	+971 50 580 7475
Legal Status	Branch of Foreign Company
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Custody		16 Oct 2024	
Acting as the Administrator of a Collective Investment Fund	Units in a Collective Investment Fund	16 Oct 2024	
Arranging Credit	Credit Agreement	16 Oct 2024	
Arranging Deals in		16 Oct 2024	

Investments			
Operating a Representative Office		03 Nov 2019	16 Oct 2024

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- The Bank of New York Mellon is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- The Bank of New York Mellon is not permitted to hold or control Client Assets

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Anthony Habis	00305	Senior Manager	Withdrawn	03 Nov 2019	22 Jun 2020
Jenny Marie Pudney	00388	Senior Manager	Withdrawn	22 Jun 2020	31 Mar 2022
Sophia Aletta Jackman	00978	Senior Manager	Withdrawn	30 Jun 2022	16 Oct 2024
Ameer Toma	02309	Senior Manager	Active	16 Oct 2024	
Ameer Toma	02309	Senior Executive Officer(SEO)	Active	16 Oct 2024	
Saima	02311	Compliance	Active	16 Oct 2024	

Siddiqui		Officer and MLRO			
Saima Siddiqui	02311	Senior Manager	Active	16 Oct 2024	
Nadeem Aslam	02310	Senior Manager	Active	16 Oct 2024	
Nadeem Aslam	02310	Finance Officer	Active	16 Oct 2024	