

Sygnum Bank AG

Firm Details

Firm Name	Sygnum Bank AG
FSP Number	220077
FSP Date	16 March 2023
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Office 303, 11th Floor, Al Sarab Tower, Adgm Square
Email	giulia.finkbeiner@sygnum.com
Legal Status	Branch of a Foreign Company
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit		16 Mar 2023	
Arranging Deals in Investments		16 Mar 2023	
Arranging Credit	Credit Agreement	16 Mar 2023	
Arranging Custody		16 Mar 2023	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Sygnum Bank AG is not permitted to hold or control Client Assets
- Sygnum Bank AG is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Philipp Joel Imbach	01301	Senior Manager	Active	16 Mar 2023	
Carolina Barroso Thomaz	01300	Risk Officer	Active	16 Mar 2023	
Guido Huppin	01299	Finance Officer	Active	16 Mar 2023	
Giulia Finkbeiner-Bertoni	01298	Senior Executive Officer(SEO)	Active	16 Mar 2023	
Nadia Aysha Alali	00965	Compliance Officer and MLRO	Withdrawn	16 Mar 2023	24 Jun 2023
Michael Lane	01524	Compliance Officer and MLRO	Withdrawn	24 Jun 2023	01 Mar 2026
Monica Raj Kumar	01482	Compliance Officer and MLRO	Active	01 Mar 2026	