

Stark Securities Limited

Firm Details

Firm Name	Stark Securities Limited
FSP Number	220140
FSP Date	13 November 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Reem Island, Office 2710, Floor 27, Addax Port Office Tower, Tamouh
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Deals in Investments	NA	14 Nov 2024	
Dealing in Investments as Agent	NA	14 Nov 2024	
Dealing in Investments as Principal (Matched)	NA	14 Nov 2024	
Providing Custody	NA	14 Nov 2024	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Dealing in Investments as Principal is limited to acting only as a Matched Principal, as described in the Prudential – Investment, Insurance Intermediation and Banking Rules (PRU)
- Stark Securities Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Mikhail Rozin	02482	Senior Manager	Active	14 Nov 2024	
Christos Kostopoulos	02481	Senior Manager	Withdrawn	14 Nov 2024	26 Nov 2024
Dharmendra Kumar Sethi	02480	Compliance Officer and MLRO	Active	14 Nov 2024	
James David Bernard	02479	Licensed Director	Active	14 Nov 2024	
Mohammed Shaikh	02478	Finance Officer	Active	14 Nov 2024	
Mohammed Shaikh	02478	Licensed Director	Withdrawn	14 Nov 2024	15 Jan 2025
Ali Rupani	02477	Licensed Director	Active	14 Nov 2024	
Sivakumar	02476	Licensed	Active	14 Nov 2024	

Gopalaraman		Director and SEO			
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