

Standard Chartered Bank

Firm Details

Firm Name	Standard Chartered Bank
FSP Number	190030
FSP Date	10 December 2019
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 5, 7th Floor, Al Khatem Tower, Abu Dhabi Global Market Square
Email	christopher.parsons@sc.com
Phone	+971 026165599
Legal Status	Branch of Foreign Company
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit		19 Dec 2021	
Arranging Deals in Investments		19 Dec 2021	
Arranging Credit	Credit Agreement	19 Dec 2021	
Arranging Custody		19 Dec 2021	

Operating a Representative Office		10 Dec 2019	19 Dec 2021
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Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Standard Chartered Bank is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Sivakumar Vellore Ramamoorthy	00862	Finance Officer	Active	19 Dec 2021	
Thomas Lee	00864	MLRO	Withdrawn	19 Dec 2021	01 Mar 2024
Christopher Parsons	00319	Senior Executive Officer(SEO)	Active	19 Dec 2021	
Laing Ferries Robson	01303	Compliance Officer	Active	20 Mar 2023	
Tanya Tourani	01828	MLRO	Active	01 Mar 2024	