

Spectra Asset Management Limited

Firm Details

Firm Name	Spectra Asset Management Limited
FSP Number	240075
FSP Date	17 September 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 13 (A), floor 10, Al Khatem Tower, Abu Dhabi Global Market Square
Legal Status	Private Company Limited By Shares
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Managing a Collective Investment Fund	Units in a Collective Investment Fund	17 Sep 2024	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Spectra Asset Management Limited is not permitted to hold or control Client Assets
- Spectra Asset Management Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Noel El Khoury	02415	Licensed Director	Active	17 Sep 2024	
Mahmood Ebraheem Mahmood Mohamed AlMahmood	02414		Active	17 Sep 2024	
Mahmood Ebraheem Mahmood Mohamed AlMahmood	02414	Senior Executive Officer(SEO)	Active	17 Sep 2024	
Syed Sadat	01338	Senior Manager	Active	17 Sep 2024	
Syed Sadat	01338	Finance Officer	Active	17 Sep 2024	
Nico Albert Van Bockstal	00014	Compliance Officer and MLRO	Active	17 Sep 2024	
Nico Albert Van Bockstal	00014	Senior Manager	Active	17 Sep 2024	
Salem Bou Aoun	03262	Licensed Director	Active	23 Oct 2025	
Jaber Taufik Bittar	03249	Licensed Director	Active	21 Nov 2025	