

# SMBC Bank International Plc

## Firm Details

<b>Firm Name</b>	SMBC Bank International Plc
<b>FSP Number</b>	240061
<b>FSP Date</b>	05 October 2024
<b>Firm Type</b>	Financial Firms
<b>Address</b>	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 2, Floor 8, Al Sarab Tower, Abu Dhabi Global Market Square
<b>Legal Status</b>	Branch
<b>Status</b>	Active

## Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Credit	Credit Agreement	16 Apr 2025	
Arranging Deals in Investments	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Options, Futures, Sukuk	05 Oct 2024	
Advising on Investments or Credit	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Options, Futures, Sukuk	05 Oct 2024	

## Conditions / Limitations

In accordance with section 30(4)(a) of FFSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- SMBC Bank International Plc is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- SMBC Bank International Plc is not permitted to hold or control Client Assets

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Mehul Mahendrakumar Desai	00531	Finance Officer	Active	05 Oct 2024	
Edward Charles Hall Rosu	00532	MLRO	Active	05 Oct 2024	
Edward Charles Hall Rosu	00532	Compliance Officer	Active	05 Oct 2024	
Yasuo Tanaka	00530	Senior Executive Officer(SEO)	Withdrawn	05 Oct 2024	01 Nov 2025
Nisshan Bhadressa	03257	Senior Executive Officer(SEO)	Active	01 Oct 2025	