

SBI Capital Markets Limited

Firm Details

Firm Name	SBI Capital Markets Limited
FSP Number	220113
FSP Date	13 June 2023
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 505, 15th, Al Sarab Tower, Adgm Square
Legal Status	Branch of a Foreign Company
Status	Withdrawn
Withdrawn Date	23 July 2025

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit		13 Jun 2023	23 Jul 2025
Arranging Deals in Investments		13 Jun 2023	23 Jul 2025
Arranging Credit	Credit Agreement	13 Jun 2023	23 Jul 2025

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- SBI Capital Markets Limited is not permitted to hold or control Client Assets
- SBI Capital Markets Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Sunil Amarlal Kapoor	01383	Senior Manager	Withdrawn	13 Jun 2023	28 Jul 2024
Krishnan Kutty Raghavan	01382	Finance Officer	Withdrawn	13 Jun 2023	23 Jul 2025
Vishal Gupta	01381	Senior Executive Officer(SEO)	Withdrawn	13 Jun 2023	01 Apr 2025
Kishan Dipina	00694	Compliance Officer and MLRO	Withdrawn	13 Jun 2023	31 Jul 2025
Alok Dhawal	02814	Senior Executive Officer(SEO)	Withdrawn	15 Apr 2025	23 Jul 2025