

SB Management Limited

Firm Details

Firm Name	SB Management Limited
FSP Number	180047
FSP Date	14 February 2019
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 9th Floor, 9th Floor, Al Sila Tower, Adgm Square
Email	compliance@sbm.softbank.com
Phone	+971 55 5153090
Legal Status	Private Company Limited By Shares
Status	Withdrawn
Withdrawn Date	25 August 2022

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Managing a Collective Investment Fund	Units in a Collective Investment Fund	09 Apr 2020	25 Aug 2022
Arranging Custody		14 Feb 2019	25 Aug 2022
Arranging Credit	Credit Agreement	14 Feb 2019	25 Aug 2022

Advising on Investments or Credit		14 Feb 2019	25 Aug 2022
Arranging Deals in Investments		14 Feb 2019	25 Aug 2022

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- SB Management Limited is not permitted to hold or control Client Assets
- SB Management Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Saleh Romeih	00241	Licensed Director	Withdrawn	14 Feb 2019	05 Jul 2020
Faisal Rehman	00240	Senior Executive Officer(SEO)	Withdrawn	14 Feb 2019	05 Jul 2020
Faisal Rehman	00240	Licensed Director	Withdrawn	14 Feb 2019	05 Jul 2020
Rajeev Misra	01315	Licensed Director	Withdrawn	05 Jul 2020	10 Sep 2020
Kentaro Matsui	00411	Licensed Director	Withdrawn	05 Jul 2020	14 Mar 2022
Akshay Naheta	00369	Licensed Director	Withdrawn	05 Jul 2020	30 Apr 2022

Akshay Naheta	00369	Senior Executive Officer(SEO)	Withdrawn	05 Jul 2020	30 Apr 2022
Spencer John Collins	03224	Licensed Director	Withdrawn	17 May 2021	25 Aug 2022
Ippei Mimura	00879	Licensed Director	Withdrawn	14 Mar 2022	25 Aug 2022
Ippei Mimura	00879	Senior Executive Officer(SEO)	Withdrawn	01 May 2022	25 Aug 2022