

SB Investment Advisers (AD) Ltd

Firm Details

Firm Name	SB Investment Advisers (AD) Ltd
FSP Number	200030
FSP Date	03 February 2021
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 9th Floor, 9th F, Al Sila Tower, Abu Dhabi Global Market Square
Email	sbia-adcompliance@softbank.com
Phone	+971 544599811
Legal Status	Private Company Limited By Shares
Status	Withdrawn
Withdrawn Date	24 January 2025

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Custody		03 Feb 2021	24 Jan 2025
Arranging Deals in Investments		03 Feb 2021	24 Jan 2025
Advising on Investments or Credit		03 Feb 2021	24 Jan 2025

Arranging Credit	Credit Agreement	03 Feb 2021	24 Jan 2025
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Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- SB Investment Advisers (AD) Ltd is not permitted to hold or control Client Assets
- SB Investment Advisers (AD) Ltd is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Aamir Akram	00584	Finance Officer	Withdrawn	03 Feb 2021	14 Nov 2024
Adam Nicholas Gulley	00583	Licensed Director	Withdrawn	03 Feb 2021	24 Feb 2021
Saleh Romeih	00241	Licensed Director	Withdrawn	03 Feb 2021	24 Jan 2025
Faisal Rehman	00240	Licensed Director and SEO	Withdrawn	03 Feb 2021	24 Jan 2025
Nancy Nawar	01414	Compliance Officer and MLRO	Withdrawn	06 Jul 2023	24 Jan 2025