

SB Investment Advisers (AD) Ltd

Firm Details

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| Firm Name | SB Investment Advisers (AD) Ltd |
| FSP Number | 200030 |
| FSP Date | 03 February 2021 |
| Firm Type | Financial Firms |
| Address | United Arab Emirates, Abu Dhabi, Maryah Island, 9th Floor, 9th F, Al Sila Tower, Abu Dhabi Global Market Square |
| Email | sbia-adcompliance@softbank.com |
| Phone | +971 544599811 |
| Legal Status | Private Company Limited By Shares |
| Status | Withdrawn |
| Withdrawn Date | 24 January 2025 |

Regulated Activities

| Type | Asset Type | Effective Date | Withdrawn Date |
|-----------------------------------|------------|----------------|----------------|
| Arranging Custody | | 03 Feb 2021 | 24 Jan 2025 |
| Arranging Deals in Investments | | 03 Feb 2021 | 24 Jan 2025 |
| Advising on Investments or Credit | | 03 Feb 2021 | 24 Jan 2025 |

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| Arranging Credit | Credit Agreement | 03 Feb 2021 | 24 Jan 2025 |
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Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- SB Investment Advisers (AD) Ltd is not permitted to hold or control Client Assets
- SB Investment Advisers (AD) Ltd is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

| Name | Person Reference Number | Role | Status | Effective Start Date | Withdrawn date |
|----------------------|-------------------------|-----------------------------|-----------|----------------------|----------------|
| Aamir Akram | 00584 | Finance Officer | Withdrawn | 03 Feb 2021 | 14 Nov 2024 |
| Adam Nicholas Gulley | 00583 | Licensed Director | Withdrawn | 03 Feb 2021 | 24 Feb 2021 |
| Saleh Romeih | 00241 | Licensed Director | Withdrawn | 03 Feb 2021 | 24 Jan 2025 |
| Faisal Rehman | 00240 | Licensed Director and SEO | Withdrawn | 03 Feb 2021 | 24 Jan 2025 |
| Nancy Nawar | 01414 | Compliance Officer and MLRO | Withdrawn | 06 Jul 2023 | 24 Jan 2025 |