

# Sarwa Digital Wealth (Capital) Limited

## Firm Details

<b>Firm Name</b>	Sarwa Digital Wealth (Capital) Limited
<b>FSP Number</b>	190037
<b>FSP Date</b>	20 February 2020
<b>Firm Type</b>	Financial Firms
<b>Address</b>	United Arab Emirates, Abu Dhabi, Maryah Island, 16-104 & 16-114, 16th Floor, Al Khatem Tower, WeWork Hub71, Abu Dhabi Global Market Square
<b>Email</b>	<a href="mailto:mark.chahwan@sarwa.co">mark.chahwan@sarwa.co</a>
<b>Legal Status</b>	Private Company Limited By Shares
<b>Status</b>	Active

## Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit		16 Aug 2020	
Arranging Deals in Investments		16 Aug 2020	
Managing Assets		20 Feb 2020	

## Approved Persons

<b>Name</b>	<b>Person Reference Number</b>	<b>Role</b>	<b>Status</b>	<b>Effective Start Date</b>	<b>Withdrawn date</b>
Mark Wilson Reyes Mallari	00344	Finance Officer	Withdrawn	20 Feb 2020	01 Jul 2024
Jad Sayegh	00343	Licensed Director	Active	20 Feb 2020	
Mark Chahwan	00342	Licensed Director and SEO	Withdrawn	20 Feb 2020	01 Jul 2024
Cherylyn Marietta Fernandes	01235	Senior Manager	Active	05 Dec 2022	
Cherylyn Marietta Fernandes	01235	Compliance Officer and MLRO	Active	05 Dec 2022	
Elizabeth Mathilde Jane Donaghy	02128	Senior Executive Officer(SEO)	Active	01 Jul 2024	
Elizabeth Mathilde Jane Donaghy	02128	Senior Manager	Active	01 Jul 2024	
Teanila Arlene Bautista	02118	Finance Officer	Active	01 Jul 2024	
Teanila Arlene Bautista	02118	Senior Manager	Active	01 Jul 2024	

## **Regulatory Actions**

<b>Date</b>	<b>Title</b>	<b>Category</b>	<b>PDF Link</b>
13 May 2025	ADGM FSRA imposes financial penalty for contraventions of Common Reporting Standard Regulations and the Foreign Account Tax Compliance Regulations requirements	CRS / FATCA Penalty Notice	↓
15 May 2024	ADGM imposes financial penalty for Offer of Securities without Approved Prospectus contraventions	Final Notice	↓
18 Jan 2024	ADGM FSRA imposes financial penalty for contravention of Common Reporting Standard Regulations requirements	CRS Penalty Notice	↓
19 Jul 2022	ADGM imposes financial penalty for breaching Common Reporting Standard Regulations requirements	CRS Penalty Notice	↓