

# Sapiens Alpha Limited

## Firm Details

<b>Firm Name</b>	Sapiens Alpha Limited
<b>FSP Number</b>	220176
<b>FSP Date</b>	31 January 2025
<b>Firm Type</b>	Financial Firms
<b>Address</b>	United Arab Emirates, Abu Dhabi, Reem Island, Office 101, Floor 1, Pixel Plaza – Tower 6, The Makers District
<b>Legal Status</b>	ADGM Company (a private company limited by shares)
<b>Status</b>	Active

## Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Custody		31 Jan 2025	
Arranging Credit	Credit Agreement	31 Jan 2025	22 May 2025
Advising on Investments or Credit		31 Jan 2025	
Arranging Deals in Investments		31 Jan 2025	

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Sapiens Alpha Limited is not permitted to hold or control Client Assets
- Sapiens Alpha Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Ashish Rajnikant Dani	02572	Licensed Director	Active	31 Jan 2025	
Amit Sharma	02571	Finance Officer	Withdrawn	31 Jan 2025	12 Nov 2025
Swarnaltha Mallineni	02570	Risk Officer	Active	31 Jan 2025	
Sohail Akbar	02569	Compliance Officer and MLRO	Active	31 Jan 2025	
Prabhakar Vasant Limaye	02568	Senior Executive Officer(SEO)	Withdrawn	31 Jan 2025	01 May 2025
Prabhakar Vasant Limaye	02568	Licensed Director	Withdrawn	31 Jan 2025	01 May 2025
Philippe Claude Becker	00623	Licensed Director	Active	31 Jan 2025	
Gary	02135	Licensed	Active	20 Aug 2025	

Anthony Dugan		Director			
Gary Anthony Dugan	02135	Senior Executive Officer(SEO)	Active	20 Aug 2025	