

Sagard (MENA) LTD

Firm Details

Firm Name	Sagard (MENA) LTD
FSP Number	220141
FSP Date	19 March 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 33, 12, Al Sila Tower, Adgm Square
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Deals in Investments		19 Mar 2024	
Advising on Investments or Credit		19 Mar 2024	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Sagard (MENA) LTD is not permitted to hold or control Client Assets

- Sagard (MENA) LTD is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Stephan Klee	01811	Finance Officer	Active	19 Aug 2023	
Stephan Klee	01811	Licensed Director	Active	19 Mar 2024	
Jonathan Tetrault	01810	Licensed Director	Active	19 Mar 2024	
Firas Mallah	01809	Licensed Director and SEO	Active	19 Mar 2024	
Bhavika Naran Asar	00936	Compliance Officer and MLRO	Active	19 Mar 2024	