

Rothschild & Co Middle East Limited

Firm Details

Firm Name	Rothschild & Co Middle East Limited
FSP Number	240042
FSP Date	03 July 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 2, Floor 6, Al Sila Tower, Abu Dhabi Global Market Square
Legal Status	Branch of a Foreign Company
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Credit	Credit Agreement	03 Jul 2024	
Advising on Investments or Credit		03 Jul 2024	
Arranging Deals in Investments		03 Jul 2024	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Rothschild & Co Middle East Limited is not permitted to hold or control Client Assets
- Rothschild & Co Middle East Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Winnifred Vivian Saldanha	02180	Finance Officer	Active	03 Jul 2024	
Christian Lazarre	02179	Compliance Officer and MLRO	Active	03 Jul 2024	
Saeed Mansoor Mohammad Aqil Al Awar	02178	Senior Executive Officer(SEO)	Active	03 Jul 2024	