

Prinden LTD

Firm Details

Firm Name	Prinden LTD
FSP Number	220069
FSP Date	01 September 2022
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Cloud Suite 508, 15th Floor, Al Sarab Tower, Abu Dhabi Global Market Square
Email	james@prinden.com
Legal Status	Private Company Limited By Shares
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Deals in Investments		01 Sep 2022	
Arranging Custody		01 Sep 2022	
Arranging Credit	Credit Agreement	01 Sep 2022	
Advising on Investments or Credit		01 Sep 2022	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Prinden LTD is not permitted to hold or control Client Assets
- Prinden LTD is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Mark Nutter	01022	Licensed Director	Withdrawn	01 Sep 2022	30 Sep 2025
James Prince	01020	Licensed Director and SEO	Active	01 Sep 2022	
Jason Nigel Clark	01443	Licensed Director	Active	16 Mar 2023	
Sandeep Kumar Sroa	01356	MLRO	Withdrawn	17 May 2023	22 Jul 2024
Rana Maouad	01373	Compliance Officer	Withdrawn	06 Jun 2023	22 Jul 2024
James Henry Forbes Wightman	01405	Finance Officer	Active	07 Jul 2023	
Moapha Ibrahim Sharif	00127	Licensed Director	Withdrawn	25 Jul 2023	23 Aug 2024
Nisha Tejpal Shah	00396	Senior Manager	Withdrawn	23 Jul 2024	01 Apr 2025

Nisha Tejpal Shah	00396	Compliance Officer and MLRO	Withdrawn	23 Jul 2024	01 Apr 2025
Nadim Tisekar	02835	Compliance Officer and MLRO	Active	05 May 2025	
Nadim Tisekar	02835	Senior Manager	Active	05 May 2025	