

# POLEN CAPITAL MIDDLE EAST LTD

## Firm Details

<b>Firm Name</b>	POLEN CAPITAL MIDDLE EAST LTD
<b>FSP Number</b>	240091
<b>FSP Date</b>	29 January 2025
<b>Firm Type</b>	Financial Firms
<b>Address</b>	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 17, Level 25, Al Sila Tower, Abu Dhabi Global Market Square
<b>Legal Status</b>	Subsidiary
<b>Status</b>	Active

## Regulated Activities

<b>Type</b>	<b>Asset Type</b>	<b>Effective Date</b>	<b>Withdrawn Date</b>
Managing Assets	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Units in a Collective Investment Fund	29 Jan 2025	27 Jan 2026
Arranging Custody	Shares	29 Jan 2025	27 Jan 2026
Managing a Collective Investment Fund	Units in a Collective Investment Fund	29 Jan 2025	27 Jan 2026
Arranging Deals in	Shares, Units in a Collective	29 Jan 2025	

Investments	Investment Fund, Rights to or interests in Investments		
Advising on Investments or Credit	Shares, Instruments giving entitlements to investments, Units in a Collective Investment Fund	29 Jan 2025	

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- POLEN CAPITAL MIDDLE EAST LTD is not permitted to hold Client Assets
- POLEN CAPITAL MIDDLE EAST LTD is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Brian Goldberg	02510	Licensed Director	Active	29 Jan 2025	
Michael Guarasci	02509	Licensed Director	Withdrawn	29 Jan 2025	31 Jan 2025
Paul Williams	02508	Licensed Director and SEO	Withdrawn	29 Jan 2025	19 Aug 2025
Anthony Goldstone	01658	Compliance Officer and MLRO	Withdrawn	29 Jan 2025	01 Apr 2026
Anthony Goldstone	01658	Risk Officer	Withdrawn	29 Jan 2025	01 Apr 2026

Gustavo De Oliveira Sousa Morais	00778	Senior Manager	Active	29 Jan 2025	
Gustavo De Oliveira Sousa Morais	00778	Finance Officer	Active	29 Jan 2025	
Kevin Michael Dolsen	02736	Licensed Director	Active	03 Mar 2025	
Jason Clark	01443	Licensed Director	Active	03 Mar 2025	
Racha Al Khawaja	01058	Licensed Director	Active	03 Mar 2025	
Jonathan William Summers	03099	Licensed Director and SEO	Active	28 Aug 2025	
Sunil Magaji	03647	Senior Manager	Active	06 Apr 2026	
Luiza Alibekova	03638	Compliance Officer and MLRO	Active	15 Apr 2026	