

PGIM International Limited

Firm Details

Firm Name	PGIM International Limited
FSP Number	240036
FSP Date	28 August 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 07, 7th Floor, Al Khatem Tower, Abu Dhabi Global Market Square
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Deals in Investments	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement, Rights to or interests in Investments	28 Aug 2024	
Advising on Investments or Credit	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial	28 Aug 2024	

	Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement, Rights to or interests in Investments		
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Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- PGIM International Limited is not permitted to hold Client Assets
- PGIM International Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Mark Fresson	02358	Finance Officer	Active	28 Aug 2024	
Mark Fresson	02358	Senior Manager	Active	28 Aug 2024	
Darren Nicholls	02357	Senior Manager	Active	28 Aug 2024	
Mohammed Abdulmalek	02356	Licensed Director	Active	28 Aug 2024	
Mark Chamieh	02355	Licensed Director	Active	28 Aug 2024	
Brad Alan Blalock	02354	Licensed Director	Active	28 Aug 2024	

Emira Del Carmen Socorro Font Seibel	02003	Licensed Director	Active	28 Aug 2024	
Emira Del Carmen Socorro Font Seibel	02003	Senior Executive Officer(SEO)	Active	28 Aug 2024	
Monica Raj Kumar	01482	Compliance Officer and MLRO	Withdrawn	28 Aug 2024	06 Dec 2024
Monica Raj Kumar	01482	Senior Manager	Withdrawn	28 Aug 2024	06 Dec 2024
Shruthi Joseph	01149	Compliance Officer and MLRO	Active	28 Mar 2025	