

# Nuveen Middle East Limited

## Firm Details

<b>Firm Name</b>	Nuveen Middle East Limited
<b>FSP Number</b>	240040
<b>FSP Date</b>	03 July 2024
<b>Firm Type</b>	Financial Firms
<b>Address</b>	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 16, Level 7, Al Maryah Tower, Abu Dhabi Global Market Square
<b>Legal Status</b>	ADGM Company (a private company limited by shares)
<b>Status</b>	Active

## Regulated Activities

<b>Type</b>	<b>Asset Type</b>	<b>Effective Date</b>	<b>Withdrawn Date</b>
Advising on Investments or Credit	Shares, Instruments giving entitlements to investments, Units in a Collective Investment Fund, Rights to or interests in Investments	03 Jul 2024	
Arranging Deals in Investments	Shares, Instruments giving entitlements to investments, Units in a Collective Investment Fund, Rights to or interests in Investments	03 Jul 2024	

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all

Regulated Activities, unless specified otherwise:

- Other
- Nuveen Middle East Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Nuveen Middle East Limited is not permitted to hold Client Assets

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Duncan David Morton	01826	Finance Officer	Active	03 Jul 2024	
Duncan David Morton	01826	Senior Manager	Withdrawn	03 Jul 2024	28 Aug 2025
Jeffrey Walker Hall	01827	Licensed Director	Active	03 Jul 2024	
John Jeffries Harding	01825	Licensed Director and SEO	Withdrawn	03 Jul 2024	13 May 2025
Megan Eileen Sendlak	01824	Licensed Director	Withdrawn	03 Jul 2024	15 Sep 2025
Nada Mohd Rashad Joudeh Dweik	00760	MLRO	Withdrawn	03 Jul 2024	09 Feb 2026
Nada Mohd	00760	Senior Manager	Withdrawn	03 Jul 2024	09 Feb 2026

Rashad Joudeh Dweik					
Nada Mohd Rashad Joudeh Dweik	00760	Compliance Officer	Withdrawn	03 Jul 2024	09 Feb 2026
Fadi Khoury	02868	Licensed Director	Active	13 May 2025	
Fadi Khoury	02868	Senior Executive Officer(SEO)	Active	13 May 2025	
Duncan David Morton	01826	Licensed Director	Active	15 Sep 2025	
Shiza Tahir	03559	Senior Manager	Active	27 Feb 2026	
Shiza Tahir	03559	Compliance Officer and MLRO	Active	27 Feb 2026	