

Nuveen Middle East Limited

Firm Details

Firm Name	Nuveen Middle East Limited
FSP Number	240040
FSP Date	03 July 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 16, Level 7, Al Maryah Tower, Abu Dhabi Global Market Square
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit	Shares, Instruments giving entitlements to investments, Units in a Collective Investment Fund, Rights to or interests in Investments	03 Jul 2024	
Arranging Deals in Investments	Shares, Instruments giving entitlements to investments, Units in a Collective Investment Fund, Rights to or interests in Investments	03 Jul 2024	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all

Regulated Activities, unless specified otherwise:

- Other
- Nuveen Middle East Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Nuveen Middle East Limited is not permitted to hold Client Assets

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Duncan David Morton	01826	Finance Officer	Active	03 Jul 2024	
Duncan David Morton	01826	Senior Manager	Withdrawn	03 Jul 2024	28 Aug 2025
Jeffrey Walker Hall	01827	Licensed Director	Active	03 Jul 2024	
John Jeffries Harding	01825	Licensed Director and SEO	Withdrawn	03 Jul 2024	13 May 2025
Megan Eileen Sendlak	01824	Licensed Director	Withdrawn	03 Jul 2024	15 Sep 2025
Nada Mohd Rashad Joudeh Dweik	00760	MLRO	Active	03 Jul 2024	
Nada Mohd	00760	Senior Manager	Active	03 Jul 2024	

Rashad Joudeh Dweik					
Nada Mohd Rashad Joudeh Dweik	00760	Compliance Officer	Active	03 Jul 2024	
Fadi Khoury	02868	Licensed Director	Active	13 May 2025	
Fadi Khoury	02868	Senior Executive Officer(SEO)	Active	13 May 2025	
Duncan David Morton	01826	Licensed Director	Active	15 Sep 2025	