

Northern Trust Global Services SE

Firm Details

Firm Name	Northern Trust Global Services SE
FSP Number	160018
FSP Date	02 March 2017
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Level, 7, Al Sila Tower, Abu Dhabi Global Market Square
Email	cd91@ntrs.com
Phone	+971 26941001
Legal Status	Branch of a Foreign Company
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Credit	Credit Agreement	02 Mar 2017	
Arranging Deals in Investments		02 Mar 2017	
Arranging Custody		02 Mar 2017	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Northern Trust Global Services SE is not permitted to hold or control Client Assets
- Northern Trust Global Services SE is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Edgar Joseph D'Mello	00048	Senior Executive Officer(SEO)	Withdrawn	01 Feb 2018	31 Oct 2020
Rasha Mohammedrajai H Alkhaldi	00330	Finance Officer	Withdrawn	29 Jul 2019	29 Jun 2025
Michael Lewis Slater	00049	Senior Executive Officer(SEO)	Withdrawn	01 Nov 2020	01 Feb 2023
Thamer Farag S Alhazmi	00502	Compliance Officer and MLRO	Withdrawn	23 Nov 2020	27 Sep 2024
Carol O'Connor	01359	Senior Manager	Withdrawn	03 Nov 2022	06 Apr 2026
Regis Vincey	01358	Senior Manager	Withdrawn	03 Nov 2022	16 Feb 2026
Christopher John Dulieu	00443	Senior Executive Officer(SEO)	Active	13 Feb 2023	

Regis Vincey	01358	Compliance Officer and MLRO	Withdrawn	13 Dec 2024	16 May 2025
Mohammed Al Jasim	02813	Compliance Officer and MLRO	Active	14 Apr 2025	
Husain Abbas	03684	Finance Officer	Active	23 Apr 2026	