

# NAPATREE CAPITAL ADVISORS LTD

## Firm Details

Firm Name	NAPATREE CAPITAL ADVISORS LTD
FSP Number	240070
FSP Date	20 August 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 16-110, 16, Al Khatem Tower, WeWork Hub71, Abu Dhabi Global Market Square
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

## Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit		20 Aug 2024	
Arranging Deals in Investments		20 Aug 2024	

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- NAPATREE CAPITAL ADVISORS LTD is not permitted to hold or control Client Assets

- NAPATREE CAPITAL ADVISORS LTD is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Christopher James Capozzalo	02330	Finance Officer	Active	20 Aug 2024	
Christopher James Capozzalo	02330	Licensed Director	Active	20 Aug 2024	
Christopher James Capozzalo	02330	Senior Manager	Active	20 Aug 2024	
David Joseph Weiler	02329	Senior Manager	Withdrawn	20 Aug 2024	16 Oct 2024
Penny Anne Bodle	02328	Licensed Director and SEO	Active	20 Aug 2024	
Rounak Bhutra	01184	Compliance Officer and MLRO	Withdrawn	20 Aug 2024	07 Jul 2025
Rounak Bhutra	01184	Senior Manager	Withdrawn	20 Aug 2024	07 Jul 2025
Nithin Babu	02546	Senior Manager	Withdrawn	23 Jul 2025	30 Dec 2025
Nithin Babu	02546	Compliance	Withdrawn	23 Jul 2025	30 Dec 2025

		Officer			
Nithin Babu	02546	MLRO	Withdrawn	23 Jul 2025	30 Dec 2025
Swati Jhanb	03116	Compliance Officer	Active	08 Jan 2026	
Swati Jhanb	03116	Senior Manager	Active	08 Jan 2026	
Swati Jhanb	03116	MLRO	Active	08 Jan 2026	