

Mubadala Capital (RS) Limited

Firm Details

Firm Name	Mubadala Capital (RS) Limited
FSP Number	160020
FSP Date	23 March 2017
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, unit no.1, 13, Al Sila Tower, Abu Dhabi Global Market Square
Phone	+971 22361000
Legal Status	Private Company Limited By Shares
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit		23 Mar 2017	
Arranging Credit	Credit Agreement	23 Mar 2017	
Arranging Custody		23 Mar 2017	
Arranging Deals in Investments		23 Mar 2017	
Managing a	Units in a Collective Investment	23 Mar 2017	

Collective Investment Fund	Fund		
Managing Assets		23 Mar 2017	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Mubadala Capital (RS) Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Mubadala Capital (RS) Limited is not permitted to hold or control Client Assets

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Maxime Franzetti	00065	Senior Manager	Active	23 Mar 2017	
Hani Barhoush	00061	Licensed Director and SEO	Active	23 Mar 2017	
Hani Barhoush	00061	Senior Manager	Active	23 Mar 2017	
Khaled Salem Omar Salem Al Shamlan	00064	Senior Manager	Active	23 Mar 2017	
Khaled Abdul Aziz Moh'd Awad	00063	Finance Officer	Active	23 Mar 2017	
Fabrizion Bocciardi	00060	Senior Manager	Active	23 Mar 2017	

Adib Martin Matter	00058	Senior Manager	Active	23 Mar 2017	
Oscar Fahlgren	00068	Senior Manager	Active	03 Nov 2017	
Rodney Cannon	00359	Licensed Director	Active	12 Mar 2020	
Khaled Abdul Aziz Moh'd Awad	00063	Licensed Director	Active	17 Jun 2021	
Simon Walter Temple	00066	Compliance Officer and MLRO	Active	01 Jul 2023	