

Morgan Stanley Investment Management

Firm Details

Firm Name	Morgan Stanley Investment Management
FSP Number	240010
FSP Date	01 April 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 3, Floor 9, Al Maqam Tower, Abu Dhabi Global Market Square
Legal Status	Branch of a Foreign Company
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Deals in Investments	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement, Rights to or interests in Investments	01 Apr 2024	
Advising on Investments or Credit	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial	01 Apr 2024	

	Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement, Rights to or interests in Investments		
Managing Assets	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement	01 Apr 2024	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Morgan Stanley Investment Management is not permitted to hold or control Client Assets
- Morgan Stanley Investment Management is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Gokhan Unal	01858	Senior Manager	Active	01 Apr 2024	
Gokhan Unal	01858	Senior Executive Officer(SEO)	Active	01 Apr 2024	

Savitha Subramanian	01855	MLRO	Withdrawn	01 Apr 2024	09 Jan 2025
Savitha Subramanian	01855	Compliance Officer	Active	01 Apr 2024	
Minal Somaiya	01856	Finance Officer	Active	01 Apr 2024	
Muhamad Ibrahim	02489	MLRO	Active	09 Jan 2025	