# Morgan Stanley & Co. International plc

### **Firm Details**

Firm Name	Morgan Stanley & Co. International plc
FSP Number	240009
FSP Date	01 April 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 3, Floor 9, Al Maqam Tower, Abu Dhabi Global Market Square
Legal Status	Branch of a Foreign Company
Status	Active

# **Regulated Activities**

Туре	Asset Type	Effective Date	Withdrawn Date
Arranging Deals in Investments	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement, Rights to or interests in Investments	01 Apr 2024	
Arranging Credit	Credit Agreement	01 Apr 2024	
Advising on	Shares, Instruments creating or	01 Apr 2024	

Investments or	acknowledging indebtedness,	
Credit	Government and public Financial	
	Instruments, Instruments giving	
	entitlements to investments,	
	Certificates representing certain	
	Financial Instruments, Units in a	
	Collective Investment Fund,	
	Options, Futures, Credit Agreement,	
	Rights to or interests in Investments	

#### **Conditions / Limitations**

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Morgan Stanley & Co. International plc is not permitted to hold or control Client Assets
- Morgan Stanley & Co. International plc is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

## **Approved Persons**

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Savitha Subramanian	01855	Compliance Officer	Active	01 Apr 2024	
Savitha Subramanian	01855	MLRO	Withdrawn	01 Apr 2024	15 Jan 2025
Minal Somaiya	01856	Finance Officer	Active	01 Apr 2024	
Pradyut Pratap	01854	Senior Executive Officer(SEO)	Active	01 Apr 2024	
Muhamad	02489	MLRO	Active	26 Nov 2024	

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