

Mirabaud (Abu Dhabi) Limited

Firm Details

Firm Name	Mirabaud (Abu Dhabi) Limited
FSP Number	180009
FSP Date	07 October 2019
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 1162, 11, Al Maqam Tower, Regus ADGM Square, Abu Dhabi Global Market Square
Email	lamia.rossier@mirabaud.ae
Phone	+971 02 412 6333
Legal Status	Private Company Limited By Shares
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit		07 Oct 2019	
Arranging Deals in Investments		07 Oct 2019	
Arranging Credit	Credit Agreement	07 Oct 2019	
Arranging Custody		07 Oct 2019	

Managing Assets		07 Oct 2019	
-----------------	--	-------------	--

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Mirabaud (Abu Dhabi) Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Omair Mohammed	00304	Finance Officer	Withdrawn	07 Oct 2019	31 May 2024
Alain Jean Paul Baron	00299	Licensed Director	Withdrawn	07 Oct 2019	08 Aug 2025
Olivier Honsberger	00298	Licensed Director	Withdrawn	07 Oct 2019	04 Nov 2021
H.H Sheikh Sultan Bin Khalifa Al Nahyan	00297	Licensed Director	Withdrawn	07 Oct 2019	08 Aug 2025
Yves Mirabaud	00296	Licensed Director	Withdrawn	07 Oct 2019	03 Jun 2025
Ronald Tamer	00295	Senior Executive Officer(SEO)	Withdrawn	07 Oct 2019	22 Apr 2023
John Albert White JR	01214	Compliance Officer and	Withdrawn	01 Oct 2022	31 Dec 2024

		MLRO			
Samir Atitallah	01336	Senior Executive Officer(SEO)	Withdrawn	25 Apr 2023	26 Aug 2024
Nikolaos Koutsoukos	02119	Senior Executive Officer(SEO)	Withdrawn	26 Aug 2024	02 Jun 2025
Yann Mrazek	01512	Licensed Director	Active	27 Sep 2024	
Christina Mihaela Filip	02138	Finance Officer	Active	18 Nov 2024	
Gail Goring	00135	MLRO	Withdrawn	14 Jan 2025	29 Aug 2025
Gail Goring	00135	Compliance Officer	Withdrawn	14 Jan 2025	29 Aug 2025
Patrick Hauri	03030	Licensed Director	Active	09 Jul 2025	