

# Mirabaud (Abu Dhabi) Limited

## Firm Details

|                     |   |
|---------------------|---|
| <b>Firm Name</b>    | Mirabaud (Abu Dhabi) Limited  |
| <b>FSP Number</b>   | 180009  |
| <b>FSP Date</b>     | 07 October 2019   |
| <b>Firm Type</b>    | Financial Firms   |
| <b>Address</b>      | United Arab Emirates, Abu Dhabi, Maryah Island, 1162, 11, Al Maqam Tower, Regus ADGM Square, Abu Dhabi Global Market Square |
| <b>Email</b>        | <a href="mailto:lamia.rossier@mirabaud.ae">lamia.rossier@mirabaud.ae</a>  |
| <b>Phone</b>        | +971 02 412 6333  |
| <b>Legal Status</b> | Private Company Limited By Shares   |
| <b>Status</b>       | Inactive  |

## Regulated Activities

| Type                              | Asset Type       | Effective Date | Withdrawn Date |
|-----------------------------------|------------------|----------------|----------------|
| Advising on Investments or Credit |                  | 07 Oct 2019    |                |
| Arranging Deals in Investments    |                  | 07 Oct 2019    |                |
| Arranging Credit                  | Credit Agreement | 07 Oct 2019    |                |
| Arranging Custody                 |                  | 07 Oct 2019    |                |

|                 |  |             |  |
|-----------------|--|-------------|--|
| Managing Assets |  | 07 Oct 2019 |  |
|-----------------|--|-------------|--|

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Mirabaud (Abu Dhabi) Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

## Approved Persons

| Name                                    | Person Reference Number | Role                          | Status    | Effective Start Date | Withdrawn date |
|---|-------------------------|-------------------------------|-----------|----------------------|----------------|
| Omair Mohammed                          | 00304                   | Finance Officer               | Withdrawn | 07 Oct 2019          | 31 May 2024    |
| Alain Jean Paul Baron                   | 00299                   | Licensed Director             | Withdrawn | 07 Oct 2019          | 08 Aug 2025    |
| Olivier Honsberger                      | 00298                   | Licensed Director             | Withdrawn | 07 Oct 2019          | 04 Nov 2021    |
| H.H Sheikh Sultan Bin Khalifa Al Nahyan | 00297                   | Licensed Director             | Withdrawn | 07 Oct 2019          | 08 Aug 2025    |
| Yves Mirabaud                           | 00296                   | Licensed Director             | Withdrawn | 07 Oct 2019          | 03 Jun 2025    |
| Ronald Tamer                            | 00295                   | Senior Executive Officer(SEO) | Withdrawn | 07 Oct 2019          | 22 Apr 2023    |
| John Albert White JR                    | 01214                   | Compliance Officer and        | Withdrawn | 01 Oct 2022          | 31 Dec 2024    |

|                         |       |                               |           |             |             |
|-------------------------|-------|-------------------------------|-----------|-------------|-------------|
|                         |       | MLRO                          |           |             |             |
| Samir Atitallah         | 01336 | Senior Executive Officer(SEO) | Withdrawn | 25 Apr 2023 | 26 Aug 2024 |
| Nikolaos Koutsoukos     | 02119 | Senior Executive Officer(SEO) | Withdrawn | 26 Aug 2024 | 02 Jun 2025 |
| Yann Mrazek             | 01512 | Licensed Director             | Active    | 27 Sep 2024 |             |
| Christina Mihaela Filip | 02138 | Finance Officer               | Active    | 18 Nov 2024 |             |
| Gail Goring             | 00135 | MLRO                          | Withdrawn | 14 Jan 2025 | 29 Aug 2025 |
| Gail Goring             | 00135 | Compliance Officer            | Withdrawn | 14 Jan 2025 | 29 Aug 2025 |
| Patrick Hauri           | 03030 | Licensed Director             | Active    | 09 Jul 2025 |             |