

MGX Fund Management Limited

Firm Details

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| Firm Name | MGX Fund Management Limited |
| FSP Number | 240067 |
| FSP Date | 18 October 2024 |
| Firm Type | Financial Firms |
| Address | United Arab Emirates, Abu Dhabi, Maryah Island, Floor, 13, Al Sarab Tower, Abu Dhabi Global Market Square |
| Email | nchen@mgx.ae |
| Legal Status | ADGM Company (a private company limited by shares) |
| Status | Active |

Regulated Activities

| Type | Asset Type | Effective Date | Withdrawn Date |
|-----------------------------------|--|----------------|----------------|
| Advising on Investments or Credit | Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement, Rights to or interests in Investments | 23 Jun 2025 | |
| Arranging Deals in | Shares, Instruments creating or | 23 Jun 2025 | |

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|---------------------------------------|--|-------------|--|
| Investments | acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Rights to or interests in Investments | | |
| Managing a Collective Investment Fund | Units in a Collective Investment Fund | 18 Oct 2024 | |

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- MGX Fund Management Limited is not permitted to hold or control Client Assets
- MGX Fund Management Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

| Name | Person Reference Number | Role | Status | Effective Start Date | Withdrawn date |
|--------------------------------------|-------------------------|----------------|--------|----------------------|----------------|
| Guy Asher Harmelin | 02455 | Senior Manager | Active | 18 Oct 2024 | |
| Omar Adnan Omar Mohammad Alismail | 02454 | Senior Manager | Active | 18 Oct 2024 | |
| Ngoc Kieu Truong | 02446 | Senior Manager | Active | 18 Oct 2024 | |

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|----------------------------|-------|-----------------------------------|--------|-------------|--|
| Ali Osman | 02445 | Senior Manager | Active | 18 Oct 2024 | |
| Dani Dweik | 02444 | Senior Manager | Active | 18 Oct 2024 | |
| Ahmed Yahia | 02151 | Licensed Director and SEO | Active | 18 Oct 2024 | |
| Wayne Robertson | 01448 | Finance Officer | Active | 18 Oct 2024 | |
| Wayne Robertson | 01448 | Senior Manager | Active | 18 Oct 2024 | |
| Yen Li Chen | 01446 | Compliance Officer and MLRO | Active | 18 Oct 2024 | |
| Yen Li Chen | 01446 | Senior Manager | Active | 18 Oct 2024 | |
| Peng Xiao | 01445 | Licensed Director | Active | 18 Oct 2024 | |
| Martin Edelman | 00998 | Licensed Director | Active | 18 Oct 2024 | |
| Andre Christophe Namphy | 02540 | Senior Manager | Active | 08 Jan 2025 | |