

MARSHALL WACE MIDDLE EAST LIMITED

Firm Details

Firm Name	MARSHALL WACE MIDDLE EAST LIMITED
FSP Number	240058
FSP Date	01 October 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Unit 37 & 38, Level 25, Al Sila Tower, Abu Dhabi Global Market Square
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit	Shares, Units in a Collective Investment Fund	01 Oct 2024	
Managing Assets	Shares, Units in a Collective Investment Fund	01 Oct 2024	
Arranging Deals in Investments	Units in a Collective Investment Fund	01 Oct 2024	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all

Regulated Activities, unless specified otherwise:

- MARSHALL WACE MIDDLE EAST LIMITED is not permitted to hold Client Assets
- MARSHALL WACE MIDDLE EAST LIMITED is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Irfan Ullah Ihsan Ullah	02036	Compliance Officer and MLRO	Withdrawn	30 Sep 2024	27 Jun 2025
Jonathan May	02079	Licensed Director	Active	01 Oct 2024	
Thilo Roth	02078	Licensed Director and SEO	Active	01 Oct 2024	
Claire Borrini	02077	Finance Officer	Active	01 Oct 2024	
Claire Borrini	02077	Licensed Director	Active	01 Oct 2024	
Irfan Ullah Ihsan Ullah	02036	Compliance Officer and MLRO	Withdrawn	04 Feb 2025	27 Jun 2025
Muhammad Iftikhar	01345	Compliance Officer and MLRO	Active	07 Jul 2025	