

# Marex Capital (AD) Limited

## Firm Details

<b>Firm Name</b>	Marex Capital (AD) Limited
<b>FSP Number</b>	160024
<b>FSP Date</b>	08 June 2017
<b>Firm Type</b>	Financial Firms
<b>Address</b>	United Arab Emirates, Abu Dhabi, Maryah Island, Unit No. 1, 13th Floor, Al Maryah Tower, Abu Dhabi Global Market Square
<b>Email</b>	<a href="mailto:marexad-compliance@marex.com">marexad-compliance@marex.com</a>
<b>Phone</b>	+971 24187580
<b>Legal Status</b>	Private Company Limited By Shares
<b>Status</b>	Active

## Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Dealing in Investments as Principal (Matched)		08 Jun 2017	
Providing Custody		08 Jun 2017	
Arranging Deals in Investments		08 Jun 2017	

Dealing in Investments as Agent		08 Jun 2017	
---------------------------------	--	-------------	--

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Marex Capital (AD) Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Kaushal Pravinchandra Majithia	00080	Licensed Director	Withdrawn	08 Jun 2017	25 Mar 2025
Dmitry Nedvetsky	00079	Licensed Director and SEO	Withdrawn	23 Oct 2018	23 Mar 2025
Jonathan Richard Welch	00082	Licensed Director	Active	12 Jun 2019	
Shakti Sekhar Pati	00734	Finance Officer	Withdrawn	01 Oct 2021	14 Nov 2024
Bhaskar Dasgupta	00997	Licensed Director	Withdrawn	14 Jul 2022	15 Jun 2024
James Yates	01634	Senior Manager	Withdrawn	21 Dec 2023	10 Mar 2024
Noora Al	00751	Compliance	Withdrawn	21 Dec 2023	01 Aug 2025

Bahrani		Officer and MLRO			
Adam Piper	02353	Risk Officer	Active	10 May 2024	
Ryan Olivier Lemand	00130	Licensed Director	Withdrawn	05 Sep 2024	25 Mar 2025
Rahul Shukla	02484	Finance Officer	Active	14 Nov 2024	
Thomas Hugues Texier	02525	Licensed Director	Active	27 Nov 2024	
Jae Yun Cho	02526	Licensed Director	Active	27 Nov 2024	
Shahab Hashemi	03096	Licensed Director	Active	20 Aug 2025	
Gavin Trevor Parker	03095	Licensed Director and SEO	Active	20 Aug 2025	

## Regulatory Actions

Date	Title	Category	PDF Link
18 Feb 2025	ADGM FSRA imposes financial penalty for contraventions of Common Reporting Standard Regulations and the Foreign Account Tax Compliance Regulations requirements	CRS / FATCA Penalty Notice	<a href="#">↓</a>
13 Dec 2024	ADGM FSRA imposes financial penalty for contraventions of Anti-Money Laundering requirements	Final Notice	<a href="#">↓</a>
16 Jan 2024	ADGM FSRA imposes financial penalty for	CRS Penalty	

Date	Title	Category	PDF Link
	contravention of Common Reporting Standard Regulations requirements	Notice	↓
09 Jun 2022	ADGM imposes financial penalty for breaching Common Reporting Standard Regulations requirements	CRS Penalty Notice	↓