

Lunaro Markets Limited

Firm Details

Firm Name	Lunaro Markets Limited
FSP Number	200034
FSP Date	18 August 2021
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 1009, 10, Al Khatem Tower, Abu Dhabi Global Market Square
Email	hello@lunaro.com
Phone	+971 26759400
Legal Status	Private Company Limited By Shares
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Dealing in Investments as Principal (not matched)	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Rights to or interests	28 Jun 2024	

	in Investments		
Arranging Deals in Investments	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc., Rights to or interests in Investments	28 Jun 2024	
Arranging Custody	Shares, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Contracts for differences etc.	28 Jun 2024	
Dealing in Investments as Principal (Matched)		18 Aug 2021	28 Jun 2024
Dealing in Investments as Agent		18 Aug 2021	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- The ensuing additional conditions attached to Lunaro Markets Limited's grant of a Financial Services Permission letter dated 18 August 2021 concerning OTC Derivatives being offered to Retail Clients.

- Dealing in Investments as Principal is limited to acting only as a Matched Principal, as described in the Prudential – Investment, Insurance Intermediation and Banking Rules (PRU)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Rakeshkumar Prataprai Rajdev	00713	Licensed Director	Withdrawn	18 Aug 2021	23 May 2024
Nicole Shroff	00712	Licensed Director	Active	18 Aug 2021	
Nicholas Mark Louis Spencer-Skeen	01195	Licensed Director and SEO	Active	22 Aug 2022	
Brijeshkumar Pravinchandra Shah	00179	Finance Officer	Active	25 Oct 2022	
Omotola Yemisi Denloye	01606	Compliance Officer and MLRO	Withdrawn	13 Dec 2023	29 Aug 2024
Disha Rakeshkumar Rajdev	02024	Licensed Director	Active	03 Jun 2024	
Jatin Shah	00845	Compliance Officer and MLRO	Active	30 Aug 2024	
Fergal Donohoe	02530	Responsible Officer	Active	24 Dec 2024	

Regulatory Actions

Date	Title	Category	PDF Link
13 Feb 2025	ADGM FSRA imposes financial penalty for contraventions of Common Reporting Standard Regulations and the Foreign Account Tax Compliance Regulations requirements	CRS / FATCA Penalty Notice	