

Kotak Mahindra Financial Services Limited

Firm Details

| | |
|---------------------|---|
| Firm Name | Kotak Mahindra Financial Services Limited |
| FSP Number | 200051 |
| FSP Date | 30 September 2021 |
| Firm Type | Financial Firms |
| Address | United Arab Emirates, Abu Dhabi, Reem Island, Office 1614, 16th floor, Tamouh Tower, Tamouh |
| Email | saurin.damania@kotak.com |
| Phone | +971 24187650 |
| Legal Status | Private Company Limited By Shares |
| Status | Active |

Regulated Activities

| Type | Asset Type | Effective Date | Withdrawn Date |
|-----------------------------------|------------------------|----------------|----------------|
| Advising on Investments or Credit | | 30 Sep 2021 | |
| Arranging Credit | Credit Agreement | 30 Sep 2021 | |
| Arranging Custody | | 30 Sep 2021 | |
| Insurance Intermediation | Contracts of Insurance | 30 Sep 2021 | |

| | | | |
|--------------------------------|--|-------------|--|
| Arranging Deals in Investments | | 30 Sep 2021 | |
|--------------------------------|--|-------------|--|

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Kotak Mahindra Financial Services Limited is not permitted to hold or control Client Assets

Approved Persons

| Name | Person Reference Number | Role | Status | Effective Start Date | Withdrawn date |
|----------------------------|-------------------------|-------------------------------|-----------|----------------------|----------------|
| Akshay Thomas Sam | 03258 | Finance Officer | Active | 30 Sep 2021 | |
| Gijo Joseph | 00750 | Licensed Director | Active | 30 Sep 2021 | |
| Shyam Kumar Syamasundaran | 00749 | Licensed Director | Active | 30 Sep 2021 | |
| Amul Sharma | 00748 | Licensed Director | Withdrawn | 30 Sep 2021 | 21 Jul 2025 |
| Jaideep Kumar Hansraj | 00747 | Licensed Director | Withdrawn | 30 Sep 2021 | 20 Jan 2025 |
| Shanti Ekambaram | 00746 | Licensed Director | Withdrawn | 30 Sep 2021 | 04 Jul 2024 |
| Saurin Chandrakant Damania | 00745 | Senior Executive Officer(SEO) | Active | 30 Sep 2021 | |
| Saurin | 00745 | Senior | Active | 30 Sep 2021 | |

| | | | | | |
|----------------------------------|-------|-----------------------------------|-----------|-------------|-------------|
| Chandrakant Damania | | Manager | | | |
| Rajeev Mohan | 00873 | Licensed Director | Withdrawn | 25 Feb 2022 | 21 Jul 2025 |
| Srividhya Erugula | 00889 | Compliance Officer and MLRO | Active | 16 Mar 2022 | |
| Priscilla Balgobin Bhoyrul | 00943 | Licensed Director | Active | 30 Sep 2022 | |
| Shripalkumar Parasmal Shah | 02114 | Licensed Director | Active | 04 Jul 2024 | |
| Gautami Gavankar | 03068 | Licensed Director | Active | 14 Aug 2025 | |