

Klumi Ventures Limited

Firm Details

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| Firm Name | Klumi Ventures Limited |
| FSP Number | 220127 |
| FSP Date | 04 April 2024 |
| Firm Type | Financial Firms |
| Address | United Arab Emirates, Abu Dhabi, Reem Island, Office 2906, Unit D117 & D118, Floor 29, Sky Tower, Shams Abu Dhabi |
| Legal Status | ADGM Company (a private company limited by shares) |
| Status | Active |

Regulated Activities

| Type | Asset Type | Effective Date | Withdrawn Date |
|---------------------------------------|---------------------------------------|----------------|----------------|
| Advising on Investments or Credit | NA | 04 Apr 2024 | |
| Arranging Deals in Investments | NA | 04 Apr 2024 | |
| Managing a Collective Investment Fund | Units in a Collective Investment Fund | 04 Apr 2024 | |

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Managing a Collective Investment Fund is limited to managing only Venture Capital Funds, as defined in the Fund Rules (FUNDS)
- Advising on Investments or Credit is restricted to co-investments in assets in which a Venture Capital Fund managed by Klumi Ventures Limited has invested or will invest
- Arranging Deals in Investments is restricted to co-investments associated with a Venture Capital Fund, as defined in FUNDS and managed by Klumi Ventures Limited
- Klumi Ventures Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Klumi Ventures Limited is not permitted to hold or control Client Assets

Approved Persons

| Name | Person Reference Number | Role | Status | Effective Start Date | Withdrawn date |
|----------------------|-------------------------|-----------------------------|-----------|----------------------|----------------|
| Harly Nichol Zappino | 01880 | Licensed Director | Withdrawn | 04 Apr 2024 | 30 Dec 2025 |
| Willem Gustav Cornax | 01879 | Compliance Officer and MLRO | Withdrawn | 04 Apr 2024 | 02 Feb 2025 |
| Manal Rifki | 01878 | Licensed Director | Withdrawn | 04 Apr 2024 | 22 Apr 2024 |
| Kristiina Lumeste | 01877 | Licensed Director and SEO | Active | 04 Apr 2024 | |
| Marshall Stephen | 01964 | Licensed Director | Active | 22 Apr 2024 | |
| Alper Esen | 01917 | Senior Manager | Active | 11 Jun 2024 | |

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| Neha Bhandari | 01450 | Compliance Officer and MLRO | Active | 13 May 2025 | |
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