Klumi Venture Limited

Firm Details

Firm Name	Klumi Venture Limited
FSP Number	220127
FSP Date	04 April 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Reem Island, Office 2906, Unit D117 & D118, Floor 29, Sky Tower, Shams Abu Dhabi
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit	NA	04 Apr 2024	
Arranging Deals in Investments	NA	04 Apr 2024	
Managing a Collective Investment Fund	Units in a Collective Investment Fund	04 Apr 2024	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Managing a Collective Investment Fund is limited to managing only Venture Capital Funds, as defined in the Fund Rules (FUNDS)

- Advising on Investments or Credit is restricted to co-investments in assets in which a Venture Capital Fund managed by Klumi Venture Limited has invested or will invest

- Arranging Deals in Investments is restricted to co-investments associated with a Venture Capital Fund, as defined in FUNDS and managed by Klumi Venture Limited

- Klumi Venture Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

- Klumi Venture Limited is not permitted to hold or control Client Assets

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Harly Nichol Zappino	01880	Licensed Director	Active	04 Apr 2024	
Willem Gustav Cornax	01879	Compliance Officer and MLRO	Withdrawn	04 Apr 2024	02 Feb 2025
Manal Rifki	01878	Licensed Director	Withdrawn	04 Apr 2024	22 Apr 2024
Kristiina Lumeste	01877	Licensed Director and SEO	Active	04 Apr 2024	
Marshall Stephen	01964	Licensed Director	Active	22 Apr 2024	
Alper Esen	01917	Senior Manager	Active	11 Jun 2024	

Neha Bhandari	01450	Compliance Officer and MLRO	Active	13 May 2025	