

# Joy Global Limited

## Firm Details

<b>Firm Name</b>	Joy Global Limited
<b>FSP Number</b>	220150
<b>FSP Date</b>	22 March 2024
<b>Firm Type</b>	Financial Firms
<b>Address</b>	United Arab Emirates, Abu Dhabi, Maryah Island, Desk D05, Floor 11, Al Sarab Tower, Abu Dhabi Global Market Square
<b>Legal Status</b>	ADGM Company (a private company limited by shares)
<b>Status</b>	Active

## Regulated Activities

<b>Type</b>	<b>Asset Type</b>	<b>Effective Date</b>	<b>Withdrawn Date</b>
Managing a Collective Investment Fund	Units in a Collective Investment Fund	22 Mar 2024	
Arranging Deals in Investments		22 Mar 2024	
Advising on Investments or Credit		22 Mar 2024	

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Managing a Collective Investment Fund is limited to managing only Venture Capital Funds, as defined in the Fund Rules (FUNDS)
- Advising on Investments or Credit is restricted to co-investments in assets in which a Venture Capital Fund managed by Joy Global Limited has invested or will invest
- Arranging Deals in Investments is restricted to co-investments associated with a Venture Capital Fund, as defined in FUNDS and managed by Joy Global Limited
- Joy Global Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Joy Global Limited is not permitted to hold or control Client Assets

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Erhai Liu	01823	Licensed Director	Active	22 Mar 2024	
Lin Lin	01822	Finance Officer	Active	22 Mar 2024	
Leenong Li	01821	Licensed Director and SEO	Active	22 Mar 2024	
Shaifali Bhatia	01192	Senior Manager	Withdrawn	22 Mar 2024	24 Jul 2024
Shaifali Bhatia	01192	Compliance Officer and MLRO	Withdrawn	22 Mar 2024	24 Jul 2024
Aroosa Muhammad	02159	Compliance Officer and	Withdrawn	30 Aug 2024	24 Jan 2025

Amin		MLRO			
Lin Lin	01822	Licensed Director	Active	06 Jan 2025	
ROSEMARY NDUJEKWU	02579	Compliance Officer and MLRO	Active	06 Feb 2025	
ROSEMARY NDUJEKWU	02579	Senior Manager	Active	06 Feb 2025	