

# Investcorp (Abu Dhabi) Limited

## Firm Details

|                     |  |
|---------------------|--|
| <b>Firm Name</b>    | Investcorp (Abu Dhabi) Limited   |
| <b>FSP Number</b>   | 210032   |
| <b>FSP Date</b>     | 28 September 2021  |
| <b>Firm Type</b>    | Financial Firms  |
| <b>Address</b>      | United Arab Emirates, Abu Dhabi, Maryah Island, 805, 8, Al Sila Tower, Adgm Square |
| <b>Legal Status</b> | Private Company Limited By Shares  |
| <b>Status</b>       | Active   |

## Regulated Activities

| Type                                  | Asset Type                            | Effective Date | Withdrawn Date |
|---------------------------------------|---------------------------------------|----------------|----------------|
| Sharia-Compliant Regulated Activities | NA                                    | 28 Sep 2021    |                |
| Arranging Deals in Investments        |                                       | 28 Sep 2021    |                |
| Managing Assets                       |                                       | 28 Sep 2021    |                |
| Managing a Collective Investment Fund | Units in a Collective Investment Fund | 28 Sep 2021    |                |
| Advising on                           |                                       | 28 Sep 2021    |                |

|                       |  |  |  |
|-----------------------|--|--|--|
| Investments or Credit |  |  |  |
|-----------------------|--|--|--|

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Investcorp (Abu Dhabi) Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

## Approved Persons

| Name                                   | Person Reference Number | Role                        | Status    | Effective Start Date | Withdrawn date |
|--|-------------------------|-----------------------------|-----------|----------------------|----------------|
| Ayman Sayed<br>Mohamed<br>Jaafar Yusuf | 00739                   | Finance Officer             | Active    | 28 Sep 2021          |                |
| Walid Michel<br>Majdalani              | 00738                   | Licensed Director           | Active    | 28 Sep 2021          |                |
| Rishi Kapoor                           | 00737                   | Licensed Director           | Active    | 28 Sep 2021          |                |
| Tarek Ali<br>Ahmed Al<br>Mahgub        | 00736                   | Licensed Director and SEO   | Active    | 28 Sep 2021          |                |
| Marc Reaidi                            | 00483                   | Compliance Officer and MLRO | Withdrawn | 28 Sep 2021          | 14 Aug 2025    |
| Barry Elliss                           | 02429                   | Compliance Officer and MLRO | Active    | 15 Aug 2025          |                |